



NEW BUSINESS FORM
OLD MUTUAL RETIREMENT ANNUITY APPLICATION FORM

Mutualpark, Jan Smuts Drive, Pinelands 7405 ■ PO Box 207, Cape Town 8000.
Tel: 0860 234 234 ■ retire@oldmutual.com ■ www.oldmutualinvest.com

IMPORTANT INFORMATION

For more information on the Old Mutual Retirement Annuity, [read the brochure linked here](#) for details on the tax benefits as well as the other features and benefits of this very attractive retirement investment. This Old Mutual Retirement Annuity application is for individual self-managed investors only. Should you be using the services of a Financial Planner, your appointed Planner should implement your investment via the Old Mutual Wealth Planner Portal.

1. **Please complete and sign all relevant sections using block letters and tick (✓) where appropriate.**
2. The completed form and a copy of your identity document/passport can be **scanned and emailed to retire@oldmutual.com**. Illegible and incomplete forms may lead to delays. **Contact our Client Service Centre at 0860 234 234 if you have any queries.**
3. The Effective Annual Cost (EAC) is a standard industry measure for your information and to facilitate comparing the cost of an investment over specific periods. Visit www.oldmutualinvest.com for more information and to access the EAC illustrator.
4. Once your application has been processed you will be a member of the Old Mutual Wealth Retirement Annuity Fund and receive a contract, detailing the terms and conditions of the Old Mutual Retirement Annuity.
5. To start processing on the same day, we must receive all completed instructions on any business day before 3pm (South African time). Any instructions received after 3pm will be processed on the next business day.
6. Your investment will be made once we have received the completed application form and supporting documents and your money reflects in the Fund's bank account.
7. Old Mutual Unit Trust funds are the investments you will select to structure your Retirement Annuity.
8. Your retirement annuity member investment contract must comply with Regulation 28 of the Pension Funds Act. A member's individual investment contract must abide with the following limits on maximum asset class exposure:
 - Total equity: 75%
 - Listed property: 25%
 - Total offshore exposure: 30%
 - Africa: 10% (over and above the allowed 30% offshore exposure)

1. CLIENT DETAILS

Title: Mr Ms Mrs Other Initials

Surname

First names

Marital status Single Married Divorced Widowed Other

Marital status type Civil Marriage in Community of Property Civil Marriage with Accrual Civil Marriage without Accrual Other

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport

Other State type eg. Social security number Country of citizenship:

Country of birth: Country of residence: Country of nationality:



3. TAX RESIDENCY DETAIL CONTINUED

TIN reason code table

Reason	Description	Please tick (✓)	Why is TIN unobtainable
A	The country/jurisdiction does not issue TINs to its residents		
B	The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason)		
C	No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)		

I (Full Name) , ID/Passport number

certify that the information provided above is correct. If I am a tax resident of any country other than or in addition to South Africa, I have stated this above. I undertake to advise Old Mutual within 30 days should information contained in this form change

Signature

Date

4. TRANSFERRING RETIREMENT ANNUITY, PENSION OR PROVIDENT FUND DETAILS (IF APPLICABLE)

Name of Fund

Postal address

Postal code

Country

E-mail

Contact person

Telephone Code No.

Administrator



5. HOW TO INVEST

The Investment Vehicle initial lump sum minimum is R10 000 and the minimum for recurring monthly investments is R500.

Lump sum investment

A Please debit my bank account with * on the

D	D	M	M	C	C	Y	Y
<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>

OR

B I will transfer EFT into Old Mutual's bank account.

STEPS TO FOLLOW FOR AN EFT (ELECTRONIC FUNDS TRANSFER) PAYMENT

- Email your completed application form and supporting documents to retire@oldmutual.com.
- Once we have received all your documentation, we will email your confirmation letter reflecting our bank account details and your unit trust client account number. Please use your client account number in your reference when making an Electronic Funds Transfer (EFT).
- To start processing on the same day, the administrator must receive all completed instructions on any business day before 3pm (South African time). Any instructions received after 3pm will be processed on the next business day.

For any further assistance in finalising your investment you may contact our Service Centre at 0860 234 234 or +27(0)21 503 1770

Debit order dates (select one)

1	3	7	15	20	27
<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>

 Commencing month

Please allow at least 10 days' notice.	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/>
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You can increase your debit order on the anniversary date to counteract the effects of inflation on your investment.

Please select one of the below.

5% 10% 15% or %

Distributions are automatically reinvested. Your Old Mutual Retirement Annuity contract must comply with regulation 28 of the Pension Funds Act. For more information please contact 0860 234 234.

Please [click here](#) to view the full range of funds available to you for investment.

UNIT TRUST NAME	LUMP SUM	MONTHLY
TOTAL	R	R



7. PROTECTION OF YOUR PERSONAL INFORMATION

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please sms your ID number to 30994 if you would prefer not to receive such information and/or financial services.

We may use your information or obtain information about you for the following purposes:

- Underwriting
- Assessment and processing of claims
- Credit searches and/or verification of personal information
- Claims checks (ASISA Life & Claims Register)
- Tracing beneficiaries
- Fraud prevention and detection
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Verifying your identity

Sharing information with service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You may access your personal information that we hold and may also request us to correct any errors or to delete this information. In certain cases you have the right to object to the processing of your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

<http://www.justice.gov.za/inforeg/index.html>
 Tel: 012 406 4818
 Fax: 086 500 3351
 Email: inforeg@justice.gov.za

To view our full privacy notice and to exercise your preferences, please visit our website on www.oldmutualinvest.com.

8. SHARI'AH-COMPLIANT UNIT TRUSTS (OLD MUTUAL ALBARAKA EQUITY FUND AND OLD MUTUAL ALBARAKA BALANCED FUND)

Please note that if you invest 100% into a Shari'ah-compliant unit trust fund(s), all impermissible interest will be paid to a charitable trust. However, in the event of retirement, death or where your investment breaches the requirements of Regulation 28 of the Pension Fund Act, we may move your investment to a money market fund where the investment will earn interest.

I acknowledge that I have opted to invest into a Shari'ah-compliant unit trust, which means that it will be managed in accordance with the guidelines of the Shari'ah Supervisory Board and having regard to the Shari'ah Standards of the Accounting and Auditing Organisation for Islamic Financial Institutions (AAOIFI). I agree that any income deemed to be non-permissible by the Shari'ah Supervisory Board will be paid to the charitable trust elected by the Shari'ah Supervisory Board in line with the principles of Shari'ah and the supplemental deed of the unit trust. I acknowledge that this does not form part of my income and will not reflect on my tax certificate. I take note that in some instances, income may be reflected as "interest income", but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

Date

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature of investor

9. CLIENT DECLARATION

I understand and agree that:

1. By signing this application form, I am applying for membership of the Old Mutual Wealth Retirement Annuity Fund, I acknowledge that I am aware of all initial and ongoing fees, risks, all information relating to the portfolio and am solely responsible for the selection of, and any changes to, the composition of my contract at all times. I agree to be bound by the registered rules of the Fund and acknowledge that the singular includes the plural in this application form.
2. The application form, any applicable statutory provisions, the registered rules of the Fund and related documents form the sole basis of my membership of the Fund.
3. All information given in this application, and in all documents which have been or will be signed by me in connection with this application, whether in my handwriting or not, is true and complete and shall be the basis of the proposed agreement.
4. I know that the cost of buying units may include initial charges plus VAT and that there are no guarantees on my capital.
5. I may only make withdrawals from this product if allowed to do so by legislation and the registered rules of the Fund.
6. I have read and fully understand this application.
7. I am aware that I may view the Effective Annual Cost (EAC) of my investment on www.oldmutualinvest.com.
8. I have read and am aware of the unit trust's objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).
9. I hereby consent to Old Mutual sharing my personal information with tracing agents in the event that my investments become unclaimed assets.

Signature

Date

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



CONTACT DETAILS

Old Mutual Investment Services (Pty) Ltd

(Registration no. 1996/000452/07)

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa, PO Box 207, Cape Town 8000, South Africa

Telephone no.: +27(0)21 503 1770, Compliance Department: +27(0)21 503 1770, Email: retire@oldmutual.com Website: www.oldmutualinvest.com

Old Mutual Investment Services (Pty) Ltd (OMIS) is a Licensed Administrative Financial Services Provider in terms of the Financial Advisory and Intermediary Services Act. OMIS is referred to as "the administrator" in this form

Old Mutual Wealth Retirement Annuity Fund

FSB No.: 12/8/37162/1 & SARS No.: 18/20/4/41382

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa, PO Box 207, Cape Town 8000, South Africa

Telephone no.: 0860 234 234 (RSA only), +27 (0)21 503 1770 (other countries) Email: retire@oldmutual.com

Board of Trustees: D L D Turpin* (Chairperson), V Bell*, A P J Cronje, T E P Browne and F Samodien.

Principal Officer: E M Gamble*

Deputy Principal Officer: AG Pelsler* *Independent

Helpline 0860 234 234

Internet www.oldmutualinvest.com