

2: Authorised Signatory(ies) For Mandate Purposes

Please fill in the details below and sign **IN BLACK INK**

A. Account Holder:

Fullname:

SURNAME FIRST NAME MIDDLE NAME

Signature & Date:



B. 2nd Signatory

Fullname:

SURNAME FIRST NAME MIDDLE NAME

Signature & Date:



Signing Instructions (Please, tick as required)

Either to sign A&B to sign jointly

I Confirm the above signature mandate

Signature & Date:

3: Form of Identification

A. Account Holder

Kindly select one of the following as a means of Identification

International Passport National ID Card Driver's License Other's (Please specify):

ID number:

Date of expiry:

B. 2nd Signatory

Kindly select one of the following as a means of Identification

International Passport National ID Card Driver's License Other's (Please specify):

ID number:

Date of expiry:

9: Terms & Conditions

I/We hereby request and authorize you to:

Open an account in my/our name and at any time subsequently to open such further accounts as I/We may direct and in consideration,

I/We understand and agree that:

(a) Coronation Securities Limited's ("Cosec") offices are open for business between the hours of 9:30am and 4:00pm on each day that is a designated Business Day in Nigeria ("Business Day").

(b) Client advices and mandates should be sent physically to Street, Victoria Island, Lagos or such other office or offices as Coronation Securities Limited may from time to time maintain elsewhere in Nigeria or via e-mail. The dedicated e-mail address for mandates is clientcare@coronationsl.com

(c) Clients' mandates can also be given through secure on-line stockbroking account which can be accessed through www.coronationsl.com or via SMS on 0802 889 3124 or telephone calls on the designated phone lines : 0802 891 3262, 0818 831 4939

(d) The daily transaction deadline for the receipt of stock mandates and/or amendments from clients is 12noon (Nigerian Time) of the Business Day on which such Mandate is to be executed.

(e) Mandates/amendments received after the deadline specified in (d) above on any Business Day will be executed on the next Business Day after such receipt.

(f) Mandates/amendments received on a day that is not a Business Day will be deemed to have been received on the Business Day immediately following the day of actual receipt.

(g) Where a mandate consists of a purchase instruction, it can only be processed if sufficient cleared funds are available to execute such an instruction.

(h) Coronation Securities Limited executes transactions based on the ruling market prices of stocks on The Nigerian Stock Exchange only

(i) Coronation Securities Limited is acting as execution-only stockbrokers and not financial advisors. Consequently client's BUY and SELL mandates shall be executed only on the floor of The Nigerian Stock Exchange.

(j) Coronation Securities Limited executes mandates on a best efforts basis only. It is therefore unable to guarantee that a mandate will be executed on a particular day even where such mandate indicates that the relevant transaction is to be effected at "market price".

(k) Buy and Sell mandates can only indicate one price not a range of prices.

(l) Valid mandates have an execution period of three (3) Business Days unless otherwise specified by the client after which they will be deemed to have expired.

(m) By the close of business on the next working day after submission of a mandate, Client will be advised of the outcome by e-mail.

(n) The Client is liable to pay all applicable statutory charges on transactions executed on the floor of The Nigerian Stock Exchange as may be advised from time to time by the regulatory authorities. Please confirm the ruling charges from the company's website, enquire physically from the Customer Service Officers or through the Customer Service lines listed in (i) above.

(o) All other transactions consummated outside the floor of the stock exchange shall attract charges as will be specifically agreed between the parties in writing

I/We hereby apply for the opening of account with Coronation Securities Limited. I/We understand that the information given herein and the documents supplied are the basis for opening such account and therefore certify that such information is correct.

I/We agree to be bound by the terms and conditions governing the operations of the account.

Full name:

Signature & Date:

Full name:

Signature & Date:

10: Indemnity

I/We of
 operate and continue to operate stockbroking account(s) with
Coronation Securities Limited of

(The Company) as the beneficial owner of the investments hereby warehoused in the above designated Stockbroking House hereby declare as follows:

I am fully aware that Buy and/or Sell Mandate for the trade of shares/stocks/bonds through our CENTRAL SECURITIES CLEARING SYSTEM LTD (CSCS) Account domiciled with the company shall be by Buy and/or Sell Mandate Form executed in accordance with the existing mandate.

I/We hereby acknowledge that the use of facsimile (fax), telephone, text messages (SMS), e-mail, letters (on letterhead or otherwise) or other unsecured means of communication to convey instructions for the trade of Shares/Stocks/Bonds or any other such instructions not backed by a duly executed Buy and/or Sell Mandate Form that will lead to the sale or purchase as the case may be of shares/stock/bonds on my account is associated with additional risks and fraud exposure;

And whereas, I/We had issued in the past and still intend to further issue buy/sell mandates in the aforementioned forms otherwise than by a formal buy/sell mandate;

The company has requested and I have agreed to provide the Indemnity under the conditions herein contained:

NOW THEREFORE, I/We instruct that the company should accept and execute instructions and/or give effect to requests to buy or sell stocks on my behalf, any other instruction relating to my account on any of the services usually rendered by the company to her clients, where such instructions and/or requests are given by any of the aforementioned means.

IN CONSIDERATION of the company agreeing to accept and act upon any such instructions, communications and documents by facsimile (fax), telephone, e-mail, letters issued telephone, e-mail, letters issued by me for the trade of shares/stocks/bonds and unaccompanied by a duly executed Buy and/or Sell Mandate Form, I/We hereby irrevocably undertake to indemnify the Company and hold it harmless from and against all costs (including without limitation to legal fees and expenses, claims, losses, liabilities, damages and proceedings) whatsoever that the company may suffer or incur or that may arise as a result of the company accepting or accepting or acting upon such instructions, communication or documents and including risks due to errors in transaction, misunderstanding or error on the part of the company regarding my identity.

I/We hereby irrevocably release the company from all liability in the event that any telephone, text messages, e-mail, facsimile transmission or letter is not received, or is mutilated, altered, illegible or interrupted, duplicated, incomplete, unauthorized, or delayed for any reason.

The company shall have absolute discretion, for any reason whatsoever, to act or not to act upon documentation received by facsimile, e-mail or letters or instructions received by telephone unaccompanied by my executed Buy and/or Sell Mandate Form and/or to request verification of documents and instructions received by such means.

Furthermore, I/We do hereby undertake that I/We will at all times sufficiently indemnify you and keep you indemnified against all liabilities and against all actions suits, proceedings, claims, demand, cost and expenses whatever which may be taken or made against you or incurred or become payable by you by reason of your reliance on the information provided in this account opening package and signature sample therein.

Dated this: day of 20

Signed, Sealed and Delivered By the within named:

Signature & Date:

In presence of:

Full name:

Signature & Date:

Individual form

FOR OFFICIAL USE ONLY

Documents Obtained *(Please, tick as required)*

	Yes	No
Completed Application Form	<input type="checkbox"/>	<input type="checkbox"/>
Identification of Signatories	<input type="checkbox"/>	<input type="checkbox"/>
Utility Bill of Signatories	<input type="checkbox"/>	<input type="checkbox"/>
Pasport Photographs Indemnity/ Letter of Set-Of	<input type="checkbox"/>	<input type="checkbox"/>
Proof of source of funds	<input type="checkbox"/>	<input type="checkbox"/>
Stock broking Agreement	<input type="checkbox"/>	<input type="checkbox"/>

Documentation checked by CSU (Customer Service Unit):

Name:

Signature & Date:

Account Opening Authorised:

Account officer:

Signature & Date:

Approval:

Authorizer:

Signature & Date:

Compliance officer

Signature & Date: