

## **Individual Account Opening Form**

Thank you for choosing Coronation Securities Limited Please fill in the required information below to help us serve you better and attach the following:

- $1. Two passport photographs of each signatory (Passport must show the full face of the signatory facing the camera) \\ 2. Identification documents for each signatory (National ID, Int'l Passport or Driver's License)$
- 3. Recent utility bill- Electricity, Water, Telephone, etc
- $4. Proof of source of funds- \, Bank \, Statement, \, Letter \, from \, employer, recent \, payslips \, etc. \,$

1: Personal deatils						
Title: (Mr, Mrs, Dr) others  Marital status: Male Female						
Fullname:						
	SURNAME	FIRST NAME	MIDDLE NAME			
Residential Address:						
			City/ State:			
Date of birth:		1arital status: Married	Single Others			
If maried,please	provide the name of your spouse:	Mother's	maiden name:			
Spouse's Date o	fbirth:	Spouse's phone number:	-			
Phone number:		Email:				
State of origin:	Local Go	ovt, Area:	Nationality:			
Employment sta	atus: Unemployed Employed	Others				
Occupation:		Position/ Level:				
Name of Employe	er:					
Office address:						
City/ State:		Years of service:				
Office number:		Alternate number:				
Politicaly Exposed Person(PEP): Yes No						
Bank deati	ls					
Bank name:		Bank acoount na	me:			
Account number		Bank Verification Number (BVN	1):			

2:Autho	rised Signatory(ies) For Mand	late Purposes			
Please fill in t	he details below and sign <b>IN BL</b>	ACK INK			
A. Account	t Holder:				
Fullname:					
	SURNAME	FIRST NAME	MIDDLE NAME		
Signature & D	Pate:				
					Affix passport photograph
B. 2nd Sign	natory				
Fullname:	,				
	SURNAME	FIRST NAME	MIDDLE NAME		
Signature & E	Date:				
					Affix passport photograph
Signing Instru	uctions (Please, tick as required	))			
Either to sign					
				Confirm th	e above signature mandate
1				Communitin	e above signature mandate
Signature & E	Date:				
3:Form	of Identification				
A. Acounth	Holder				
	one of the following as a means	of Identification			
Internat	ional Passport 🔲 Nationa	ID Card Driver's License	Other's (Please specify:)	(Please specij	fy)
ID number:			Date of expiry:	И М Ү	YYY
B. 2nd Sign					
Kindly select	one of the following as a means	of Identification			
Internat	ional Passport Nationa	ID Card Driver's License	Other's (Please specify:)	(Please speci	fy)
ID number:			Date of expiry:	и М У	YYY

4:Next of Kin details								
Title: (Mr, Mrs, Dr) others  Marital status: Male Female								
Fullname:	Fullname:							
	SURNAME FIRST NAME							MIDDLE NAME
Residential Address:								
						City/ S	tate:	
Relationship:				Phone nun	nber:			
Email:								
5:Waiver								
I/We:					of	f		
					a natior	nal of		
Lam a/We are nr	ospective shareholder(s) in	securities quoted o	n the Nigerian S	Stock Exchange and I	/ We her	eby EREELY st	ate that he	ing aware of my (our) right to be
I am a/ We are prospective shareholder(s) in securities quoted on the Nigerian Stock Exchange and I/ We hereby FREELY state that being aware of my (our) right to be issued with a share certificate(s) under section 146 and 147 of the Companies and Allied Matter Decree 1990 and the Memorandum and Article of Association of the listed companies for my (our) sole benefit and private purposes do hereby waive the said right and also DECLARE that I/WE shall accept as sufficient certification of my (our) shareholding and Memorandum to that effect delivered to me (us) by the said listed company/companies or the CENTRAL SECURITIES CLEARING SYSTEM LIMITED acting on behalf of same as satisfaction of my said right under the sections and Memorandum and Articles of Association afore-mentioned								
Dated this:		day of	20	Signat	ure:			
6:Referal	nformation							
Who introduced you to Coronation Securities Limited?								
Profesion/ Occup	pation:			Company r	name:			
7:Addition	nal information							
Self employed?	Yes N	o Annu	al income:					
Source of Funds	to Operate Account							
Individal	Amo	unt (Expected Ann	ual) N	Indiv	ridal		Amount E	xpected Annual Turnover) N
Salaries				Salar	ies			
Business in	come			Busii	ness inco	ome		
Others								
Kindly State other source(s) of income other than income from your occupation								
Investmen	ts Director's fees	Divider	nds					
8:Consent								
Information may re-enacted from	include Personal Data and Se	ensitive Personal Da other law or re	ata as defined in gulation goverr	the Nigerian Data Pro ning Data Protectio	otection F	Regulation 201	9 ("NDPR"	about you ("Information"). Your ) (as may be amended, replaced or vacy notice on our website -
I hereby consent to the processing of the information that I have provided in this form.								

#### 9:Terms & Conditions

I/We hereby request and authorize you to:

Open an account in my/our name and at any time subsequently to open such further accounts as I/We may direct and in consideration,

I/We understand and agree that:

- (a) Coronation Securities Limited's ("Cosec") offices are open for business between the hours of 9:30am and 4:00pm on each day that is a designated Business Day in Nigeria ("Business Day").
- (b) Client advices and mandates should be sent physically to Street, Victoria Island, Lagos or such other office or offices as Coronation Securities Limited may from time to time maintain elsewhere in Nigeria or via e-mail. The dedicated e-mail address for mandates is clientcare@coronationsl.com
- (c) Clients' mandates can also be given through secure on-line stockbroking account which can be accessed through www.coronationsl.com or via SMS on  $0802\,889\,3124$  or telephone calls on the designated phone lines:  $0802\,891\,3262,0818\,831\,4939$
- (d) The daily transaction deadline for the receipt of stock mandates and/or amendments from clients is 12noon (Nigerian Time) of the Business Day on which such Mandate is to be executed.
- (e) Mandates/amendments received after the deadline specified in (d) above on any Business Day will be executed on the next Business Day after such receipt.
- (f) Mandates/amendments received on a day that is not a Business Day will be deemed to have been received on the Business Day immediately following the day of actual receipt.
- (g) Where a mandate consists of a purchase instruction, it can only be processed if sufficient cleared funds are available to execute such an instruction.
- (h) Coronation Securities Limited executes transactions based on the ruling market prices of stocks on The Nigerian Stock Exchange only
- (i) Coronation Securities Limited is acting as execution-only stockbrokers and not financial advisors. Consequently client's BUY and SELL mandates shall be executed only on the floor of The Nigerian Stock Exchange.
- (j) Coronation Securities Limited executes mandates on a best efforts basis only. It is therefore unable to guarantee that a mandate will be executed on a particular day even where such mandate indicates that the relevant transaction is to be effected at "market price".
- (k) Buy and Sell mandates can only indicate one price not a range of prices.
- (I) Valid mandates have an execution period of three (3) Business Days unless otherwise specified by the client after which they will be deemed to have expired.
- (m) By the close of business on the next working day after submission of a mandate, Client will be advised of the outcome by e-mail.
- (n) The Client is liable to pay all applicable statutory charges on transactions executed on the floor of The Nigerian Stock Exchange as may be advised from time to time by the regulatory authorities. Please confirm the ruling charges from the company's website, enquire physically from the Customer Service Officers or through the Customer Service lines listed in (i) above.
- (o) All other transactions consummated outside the floor of the stock exchange shall attract charges as will be specifically agreed between the parties in writing

I/We hereby apply for the opening of account with Coronation Securities Limited. I/We understand that the information given herein and the documents supplied are the basis for opening such account and therefore certify that such information is correct.

I/We agree to be bound by the terms and conditions governing the operations of the account.

Full name:	Full name:
Signature & Date:	Signature & Date:

10:Indemnity					
I/We of					
operate and	continue to operate stockbroking account(s) with				
Coronation Securities Limited of					
(The Company) as the beneficial owner of the investments hereby warehoused in the above designated Stockbrokin	g House hereby declare as follows:				
I am fully aware that Buy and/or Sell Mandate for the trade of shares/stocks/bonds through our CENTRAL SECURITIES CLEARING SYSTEM LTD (CSCS) Account domiciled with the company shall be by Buy and/or Sell Mandate Form executed in accordance with the existing mandate.					
I/We hereby acknowledge that the use of facsimile (fax), telephone, text messages (SMS), e-mail, letters (on letterhead or otherwise) or other unsecured means of communication to convey instructions for the trade of Shares/Stocks/Bonds or any other such instructions not backed by a duly executed Buy and/or Sell Mandate Form that will lead to the sale or purchase as the case may be of shares/stock/bonds on my account is associated with additional risks and fraud exposure;					
And whereas, I/We had issued in the past and still intend to further issue buy/sell mandates in the aforementioned for	orms otherwise than by a formal buy/sell mandate;				
The company has requested and I have agreed to provide the Indemnity under the conditions herein contained:					
NOW THEREFORE, I/We instruct that the company should accept and execute instructions and/or give effect to requests to buy or sell stocks on my behalf, any other instruction relating to my account on any of the services usually rendered by the company to her clients, where such instructions and/or requests are given by any of the aforementioned means.					
IN CONSIDERATION of the company agreeing to accept and act upon any such instructions, communications and documents by facsimile (fax), telephone, e-mail, letters issued telephone, e-mail, letters issued by me for the trade of shares/stocks/bonds and unaccompanied by a duly executed Buy and/or Sell Mandate Form, I/We hereby irrevocably undertake to indemnify the Company and hold it harmless from and against all costs (including without limitation to legal fees and expenses, claims, losses, liabilities, damages and proceedings) whatsoever that the company may suffer or incur or that may arise as a result of the company accepting or acting upon such instructions, communication or documents and including risks due to errors in transaction, misunderstanding or error on the part of the company regarding my identity.					
I/We hereby irrevocably release the company from all liability in the event that any telephone, text messages, e-mail, facsimile transmission or letter is not received, or is mutilated, altered, illegible or interrupted, duplicated, incomplete, unauthorized, or delayed for any reason.					
The company shall have absolute discretion, for any reason whatsoever, to act or not to act upon documentation received by facsimile, e-mail or letters or instructions received by telephone unaccompanied by my executed Buy and/or Sell Mandate Form and/or to request verification of documents and instructions received by such means.					
Furthermore, I/We do hereby undertake that I/We will at all times sufficiently indemnify you and keep you indemnified against all liabilities and against all actions suits, proceedings, claims, demand, cost and expenses whatever which may be taken or made against you or incurred or become payable by you by reason of your reliance on the information provided in this account opening package and signature sample therein.					
Dated this: day of 20	Signature & Date:				
Signed, Sealed and Delivered By the within named:					
In presence of:					
Full name:	Signature & Date:				

## **Individual form**

FOR OFFICIAL USE ONLY

Documents Obtained (Please,tick as required) Yes No Completed Application Form Identification of Signatories Utility Bill of Signatories Pasport Photographs Indemnity/ Letter of Set-Of Proof of source of funds Stock broking Agreement Documentation checked by CSU (Customer Service Unit): Name: Signature & Date: Account Opening Authorised: Account officer: Signature & Date: Approval: Authorizer: Signature & Date: Compliance officer Signature & Date: