



Return this form by scanning and emailing it to groupputrcorporates@oldmutual.com.

WHO SHOULD COMPLETE THIS FORM?

- Please complete and sign this form if you are an investor subject to a different rate of tax on local dividends as a result of a double tax agreement (DTA) or if you represent a legal entity that is exempt from Dividend Withholding Tax (DWT).
- The beneficial owner of the dividends must complete and sign all the relevant sections.
- If you don't complete, sign and return this form to Old Mutual Unit Trusts, we are obliged to withhold tax on local dividends at the applicable rate stipulated in legislation.

PLEASE NOTE:

- This declaration and written undertaking is made in respect of all the unit trust funds held within the investor's standard unit trust portfolio, in terms of the Income Tax Act (No. 58 of 1962) (the Act) exemptions from dividends tax referred to in section 64F read with sections 64FA(2), 64G(2) or 64H(2)(a). It applies to all CIS unit trust fund portfolios as managed by Old Mutual Unit Trust Managers (RF) (Pty) Ltd. These unit trust fund portfolios are listed on the last page of this form.
- Non South African residents seeking to qualify for a reduced rate should complete section 3. Application for Reduced Rate of Tax [DTD (RR)]
- Duly completed and signed forms that reach us by 15h00 will be processed on the same day.
- Please consult a tax adviser if you are unsure about your tax status.

Please complete this form using block letters and tick (✓) where appropriate.

INSTRUCTIONS

Please complete section 1, and then select the applicable option below.

- A. I/We hereby apply for exemption. Complete and sign section 2.
OR
B. I/We hereby apply for a reduced rate of dividend tax. Complete and sign section 3.

1. INVESTOR'S DETAILS

Investment for myself OR Investment in the Name of
State relationship

INDIVIDUAL INVESTOR DETAIL

Title and surname
Full first names
Gender: Male Female
Marital status: Single Married Divorced Widowed Other
Marital status type: Civil Marriage in Community of Property Civil Marriage with Accrual Civil Marriage without Accrual Other
Occupation:

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other State type eg. Social security number Country of citizenship:
Country of birth: Country of residence: Country of nationality:
Are you registered for Tax purposes in South Africa? Yes South African Income tax number



1. INVESTOR'S DETAILS CONTINUED

INSTITUTIONAL /ENTITY INVESTOR DETAIL

Detail of investing entity:

Company/Trust/Institution Retirement Fund Fund of Funds LISP Other

Investing Entity Name

Country of Incorporation (if a legal entity)

Company Registration Number VAT Number

Tax Number Finswitch Account Number (if applicable)

Telephone
(For eg. South Africa +27)

E-mail Address

Old Mutual Unit Trusts' preferred method of communication is e-mail

Postal Address
 Postal code

Country

Physical Address
 Postal code

Country

2. APPLICATION FOR EXEMPTION [DTD (EX)]

2.1 Nature of person/entity:

- Individual
- Listed company
- Unlisted company
- Trust (any type)
- RSA Government, Provincial Administration, municipalities
- Retirement fund (Pension, Provident, Benefit, RA etc)
- Other (if selected please provide a description/explanation of nature of the entity/person):



2. APPLICATION FOR EXEMPTION [DTD (EX)] CONTINUED

2.2 Please indicate the reason why you (the investor or representative of the investor) are exempt from the dividends tax:

- Par (a) – a company which is resident in South Africa
- Par (b) – the Government, provincial government or municipality (of the Republic of South Africa)
- Par (c) – a public benefit organisation (approved by SARS ito section 30(3) of the Act)
- Par (d) – a trust contemplated in section 37A of the Act (mining rehabilitation trusts)
- Par (e) – an institution, body, or board contemplated in section 10(1)(cA) of the Act
- Par (f) – a fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- Par (g) – a person contemplated in section 10(1)(t) of the Act (CSIR, SANRAL etc)
- Par (h) – a shareholder in a registered micro business as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed R200 000
- Par (i) – a small business funding entity as contemplated in section 10(1)(cQ)
- Par (j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. a dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
- Par (k) – a portfolio of investment schemes in securities
- Par (l) – any person insofar as the dividend constitutes income of that person (i.e. falls into normal tax system)*
- Par (m) – any person to the extent that the dividend was subject to STC
- Par (n) – Fidelity and indemnity funds contemplated in section 10(1)(d)(iii) – i.e. only the two, not the other entities mentioned
- Par (x) – Other
- Par (y) – Double Taxation Agreement
- Par (z) – Other international agreement

Declaration in terms of section 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

I (full names in print please), the undersigned, hereby declare that dividends paid to the investor are exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above. I undertake to inform the Withholding Agent in writing should the circumstances of the investor referred to in the declaration above change.

I/We acknowledge that it is the sole responsibility of the Beneficial Owner to ensure that this declaration is completed timeously and that the information provided is accurate and complete. Old Mutual Unit Trust Managers (RF) (Pty) Ltd will not be liable for any expenses or damages due to non-submission or late submission of the form, or where the document submitted is incorrectly completed or only partially completed. I/We agree that it is the sole responsibility of the Beneficial Owner to comply with all requirements and obligations in relation to DWT as set out in the Act from time to time. I/We certify that I/we am/are duly authorised to sign this declaration on behalf of the Beneficial Owner.

Signature
(Duly authorised to do so)

Date

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Capacity of Signatory:
(if not the beneficial owner)



2. APPLICATION FOR EXEMPTION [DTD (EX)] CONTINUED

UNDERTAKING in terms of sections 64FA(1)(a)(ii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act:

I (full names in print please), the undersigned undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in the declaration above change.

Signature (Duly authorised to do so)

Date

D	D	M	M	Y	Y	Y	Y

Capacity of Signatory: (if not the beneficial owner)

I confirm that the exemption claimed above applied from:

The date I first invested

Or
From the following date

D	D	M	M	Y	Y	Y	Y

Signature (Duly authorised to do so)

Date

D	D	M	M	Y	Y	Y	Y

Capacity of Signatory: (if not the beneficial owner)

3. APPLICATION FOR REDUCED RATE OF TAX [DTD (RR)]

3.1 Nature of person/entity:

- Individual
- Listed company
- Unlisted company
- Trust (any type)
- RSA Government, Provincial Administration, municipalities
- Retirement fund (Pension, Provident, Benefit, RA etc)
- Other (if selected please provide a description/explanation of nature of the entity/person):

3.2 This application is made for dividends from all shares held via the portfolios managed by Old Mutual Unit Trust Managers Limited.

Declaration in terms of section 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

(full names in print please), the undersigned declare that all the relevant requirements in terms of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force on the relevant date between the Republic of South Africa and the country of residence of the investor specified above, as well as section 64FA, 64G or 64H of the Act (whichever is applicable), have been met and that dividends paid on the shares specified above are therefore subject to a reduced rate of % . I undertake to forthwith inform the Withholding Agent in writing should the circumstances of the investor referred to in the declaration above change.

I/We acknowledge that it is the sole responsibility of the Beneficial Owner to ensure that this declaration is completed timeously and that the information provided is accurate and complete. Old Mutual Unit Trust Managers Limited will not be liable for any expenses or damages due to non-submission or late submission of the form, or where the document submitted is incorrectly completed or only partially completed. I/We agree that it is the sole responsibility of the Beneficial Owner to comply with all requirements and obligations in relation to DWT as set out in the Act from time to time. I/ We certify that I/we am/are duly authorised to sign this declaration on behalf of the Beneficial Owner.

Signature (Duly authorised to do so)

Date

D	D	M	M	Y	Y	Y	Y

Capacity of Signatory: (if not the beneficial owner)



3. APPLICATION FOR REDUCED RATE OF TAX [DTD (RR)] CONTINUED

UNDERTAKING in terms of sections 64FA(1)(a)(ii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act:

I (full names in print please), the undersigned undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in the declaration above change.

Signature (Duly authorised to do so)

Date

D	D	M	M	Y	Y	Y	Y

Capacity of Signatory: (if not the beneficial owner)

I confirm that the exemption claimed above applied from:

The date I first invested

Or
From the following date

D	D	M	M	Y	Y	Y	Y

Signature (Duly authorised to do so)

Date

D	D	M	M	Y	Y	Y	Y

Capacity of Signatory: (if not the beneficial owner)

4. PROTECTION OF PERSONAL INFORMATION

Old Mutual would like to offer you ongoing financial services and may use your personal information to provide you with information about product or services that may be suitable for your financial needs.

Please indicate here if you do not wish to receive this.

We may use your information or obtain information about you for the following purposes:

- Fraud prevention and detection
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Verifying your identity
- Sharing information with service providers we engage to process such information on our behalf or who renders services to us.

These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

<http://www.justice.gov.za/inforeg/index.html>
Tel: 012 406 4818
Fax: 086 500 3351
Email: inforeg@justice.gov.za

You may access the personal information that we hold and request us to correct any errors or to delete this information. To view our full privacy notice and to exercise preferences, visit our website on www.oldmutualinvest.com.



5. STATUTORY INFORMATION

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial adviser before buying or selling unit trusts. You may however, buy and sell without the help of a financial adviser. If you do use a financial adviser, we remind you that they are entitled to certain negotiable adviser fees or commissions.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off time for client instructions (e.g. buying and selling) is at 15:00 each working day for all funds, except the Money Market Funds, which is at 13:00. These are also the times we value our funds to determine the daily ruling price (other than at month-end when we value the Old Mutual Index Funds and Old Mutual Multi-Managers Fund of Funds ranges at 17:00 close). Daily prices are available on our public website (www.oldmutualinvest.com) and in the media.
- The daily ruling price is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue.
- The fund fees and costs that we charge for managing your investment is accessible on the relevant fund's minimum disclosure document (MDD) or Table of fees and charges, both available on our public website (www.oldmutualinvest.com), or from our contact centre (0860 234 234).
- Additional information on this proposed investment can be obtained, free of charge, from our public website or our contact centre.
- Funds may borrow to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- Old Mutual Unit Trusts has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002.
- Old Mutual is a member of the Association for Savings & Investment South Africa (ASISA).

Money Market Funds:

A Money Market Fund is not a bank deposit account. Its unit price aims to be constant but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13:00) but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.

Income Funds:

Income funds derive their income primarily from interest-bearing instruments as defined. The published yield is a current yield and is calculated daily. The value of underlying assets of Income funds are marked to market on a daily basis.

Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure.

Feeder Funds:

A feeder fund is a portfolio that invests all of its capital in a single underlying fund. This underlying fund can be a local, foreign or offshore fund and levy its own charges which may result in a higher fee structure.

Third-Party Named Funds (co-branded):

Old Mutual Unit Trust Managers (RF) (Pty) Ltd is the manager of Adviceworx, Old Mutual Multi-Managers and Public Investment Corporation SOC

Limited suites of co-branded funds and retains full legal accountability.

Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.

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CONTACT DETAILS

Withholding Agent: Old Mutual Unit Trust Managers (RF) (Pty) Ltd

Registration no. 65/08471/07

Tax registration number 9900144719

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa

PO Box 207, Cape Town 8000, South Africa

Telephone no.: +27(0)21 503 1770

Helpline: 0860 234 234

Internet address: www.oldmutualinvest.com

Email: unittrusts@oldmutual.com

Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website www.oldmutualinvest.com or by contacting 0860 234 234.

Compliance Department: +27(0)21 503 1770

Visit www.oldmutualinvest.com for unit trust information, prices and news. Log in to view your portfolio online.

Clients who select email as their preferred correspondence method benefit from an enhanced communication offering.

Old Mutual Investment Administrators (Pty) Ltd

Registration no. 1988/003478/07

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa

PO Box 5408, Cape Town 8000, South Africa

Telephone no.: +27(0)21 503 1770

Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.



OLD MUTUAL UNIT TRUSTS
DECLARATION BY BENEFICIAL OWNER (INVESTOR)

FUND NAME	REFERENCE NUMBER
Old Mutual Albaraka Balanced Fund	9242/164/18/5
Old Mutual Albaraka Equity Fund	9217/086/18/1
Old Mutual Balanced Fund	9440/007/60/8
Old Mutual Bond Fund	9002/001/60/1
Old Mutual Capital Builder Fund	9076/017/18/6
Old Mutual Capped SWIX Index Fund	9203/101/23/4
Old Mutual Core Balanced Fund	0556/838/25/8
Old Mutual Core Conservative Fund	9256/734/22/0
Old Mutual Core Moderate Fund	0839/123/24/7
Old Mutual Dynamic Floor Fund	9131/529/15/9
Old Mutual Equity Fund	0058/339/30/0
Old Mutual Flexible Fund	9301/002/60/7
Old Mutual FTSE RAFI® All World Index Feeder Fund	9430/174/17/8
Old Mutual Global Currency Feeder Fund	9245/117/18/0
Old Mutual Global Equity Fund	9000/041/60/9
Old Mutual Gold Fund	9000/032/60/8
Old Mutual Income Fund	9430/004/60/7
Old Mutual Institutional Money Market Fund	9344/429/15/5
Old Mutual Institutional Short Term Interest Fund	9155/267/24/9
Old Mutual Interest Plus Fund	9284/966/17/4
Old Mutual Investors' Fund	9430/021/60/1
Old Mutual Managed Alpha Equity Fund	9218/095/18/1
Old Mutual Maximum Return Fund of Funds	9543/989/16/5
Old Mutual Mid & Small-Cap Fund	9318/002/60/8
Old Mutual Moderate Balanced Fund	1501/123/18/4
Old Mutual Money Market Fund	9007/027/60/1
Old Mutual MSCI Emerging Markets ESG Index Feeder Fund	9881/910/16/1
Old Mutual MSCI World ESG Index Feeder Fund	9007/711/27/9
Old Mutual RAFI® 40 Index Fund	9154/637/17/8
Old Mutual Real Income Fund	9004/554/17/7
Old Mutual SA Quoted Property Fund	9950/502/14/7
Old Mutual Stable Growth Fund	9153/631/17/2
Old Mutual Top 40 Index Fund	9511/398/14/2
Old Mutual Multi-Managers Aggressive Balanced Fund of Funds	9145/782/22/4
Old Mutual Multi-Managers Balanced Fund of Funds	9405/415/15/0
Old Mutual Multi-Managers Cautious Fund of Funds	9246/132/18/8
Old Mutual Multi-Managers Defensive Fund of Funds	9094/595/15/5

FUND NAME	REFERENCE NUMBER
Old Mutual Multi-Managers Enhanced Income Fund of Funds	9053/009/17/2
Old Mutual Multi-Managers Equity Fund of Funds	9670/702/14/2
Old Mutual Multi-Managers Maximum Return Fund of Funds	9144/790/22/8
Old Mutual Multi-Managers Money Market Fund	9696/687/14/5
OLD MUTUAL MULTI-MANAGERS BUILDING BLOCK FUNDS	
Old Mutual Multi-Managed Equity Fund	9325/466/19/2
Old Mutual Multi-Managers Global Equity Fund of Funds	9038/721/25/5
Old Mutual Multi-Managers Global Income Fund of Funds	0894/684/19/0
Old Mutual Multi-Managers Global Property Fund of Funds	0428/355/26/7
Old Mutual Multi-Managers Income Fund No. 1	9171/886/15/4
Old Mutual Multi-Managers Income Fund No. 2	9038/780/17/8
Old Mutual Multi-Managers Income Fund No. 3	0108/316/28/2
Old Mutual Multi-Managers Income Fund No. 4	0959/565/19/3
Old Mutual Multi-Managers Income Fund No. 5	2791/963/16/4
Old Mutual Multi-Managers Inflation Plus Fund No. 1	9096/906/15/2
Old Mutual Multi-Managers Inflation Plus Fund No. 2	9292/661/15/5
Old Mutual Multi-Managers Inflation Plus Fund No. 3	9078/846/16/0
Old Mutual Multi-Managers Inflation Plus Fund No. 4	9076/043/17/4
Old Mutual Multi-Managers Inflation Plus Fund No. 6	9884/066/15/1
Old Mutual Multi-Managers Inflation Plus Fund No. 7	9247/122/18/8
Old Mutual Multi-Managers Property Equity	1754/699/17/9
Old Mutual Multi-Managers Satellite Equity Fund No. 1	9166/715/15/2
Old Mutual Multi-Managers Satellite Equity Fund No. 2	9074/688/16/0
Old Mutual Multi-Managers Satellite Equity Fund No. 3	9075/693/17/7
Old Mutual Multi-Managers Satellite Equity Fund No. 4	9248/118/18/5