



NEW BUSINESS FORM
 OLD MUTUAL PRESERVATION FUNDS APPLICATION FORM

Mutualpark, Jan Smuts Drive, Pinelands 7405 ■ PO Box 207, Cape Town 8000.
 Tel: 0860 234 234 ■ retire@oldmutual.com ■ www.oldmutualinvest.com

IMPORTANT INFORMATION

For more information on the Old Mutual Preservation Funds, read the brochure linked here. If you are retrenched or resign, you need a place to preserve and grow the proceeds of your previous employer's pension or provident fund. The Old Mutual Unit Trusts Preservation Pension Fund accepts the proceeds of pension funds, while the Old Mutual Unit Trusts Preservation Provident Fund accepts the proceeds of provident funds .

This Old Mutual Preservation Funds application is for individual self-managed investors only. Should you be using the services of a Financial Planner, your appointed Planner should implement your investment via the Old Mutual Wealth Planner Portal.

- If you are transferring capital from a pension fund you will become a member of the Old Mutual Wealth Preservation Pension Fund (the Fund); if you are transferring capital from a provident fund you will become a member of the Old Mutual Wealth Preservation Provident Fund (the Fund). Please indicate your choice in the tick box provided below.**
- Please complete and sign all relevant sections using block letters and tick (i) where appropriate.**
- The Effective Annual Cost (EAC) is a standard industry measure for your information and to facilitate comparing the cost of an investment over specific periods. Visit www.oldmutualinvest.com for more information and to access the EAC illustrator.
- The completed form and a copy of your identity document/passport can be **scanned and emailed to retire@oldmutual.com**. Illegible and incomplete forms may lead to delays. **Contact our Client Service Centre at 0860 234 234 if you have any queries.**
- To start processing on the same day, Old Mutual Investment Services (the Administrator) must receive all completed instructions on any business day before 3pm (South African time). Any instructions received after 3pm will be processed on the next business day.
- Once your application has been processed you will be a member of the Old Mutual Wealth Retirement Preservation Fund and receive a contract, detailing the terms and conditions of the Old Mutual Preservation Fund.
- Old Mutual unit trust funds are the investments with which you must structure your preservation fund portfolio.
- Your preservation member investment contract must comply with Regulation 28 of the Pension Funds Act. A member's individual investment contract must abide by the following limits on maximum asset class exposure:
 - Total equity: 75%
 - Listed property: 25%
 - Total offshore exposure: 30%
 - Africa: 10% (over and above the allowed 30% offshore exposure)

APPLICATION TO BECOME A MEMBER OF THE FUND

I hereby apply to become a member of the Fund as indicated below and to purchase units to the value of the amount referred to in section 4.

Old Mutual Wealth Preservation **Pension** Fund Old Mutual Wealth Preservation **Provident** Fund

1. CLIENT DETAILS

Title: Mr Ms Mrs Other Initials

Surname

First names

Marital status Single Married Divorced Widowed Other

Marital status type Civil Marriage in Community of Property Civil Marriage with Accrual Civil Marriage without Accrual Other

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other State type eg. Social security number Country of citizenship:

Country of birth: Country of residence: Country of nationality:

Are you registered for Tax purposes in South Africa? YES NO South African Income Tax Number



7. PROTECTION OF YOUR PERSONAL INFORMATION

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please sms your ID number to 30994 if you would prefer not to receive such information and/or financial services.

We may use your information or obtain information about you for the following purposes:

- Underwriting
- Assessment and processing of claims
- Credit searches and/or verification of personal information
- Claims checks (ASISA Life & Claims Register)
- Tracing beneficiaries
- Fraud prevention and detection
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Verifying your identity
- Sharing information with service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You may access your personal information that we hold and may also request us to correct any errors or to delete this information. In certain cases you have the right to object to the processing of your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

- <http://www.justice.gov.za/infoereg/index.html>
- Tel: 012 406 4818
- Fax: 086 500 3351
- Email: infoereg@justice.gov.za

To view our full privacy notice and to exercise your preferences, please visit our website on www.oldmutualinvest.com.

8. SHARI'AH-COMPLIANT UNIT TRUSTS (OLD MUTUAL ALBARAKA EQUITY FUND AND OLD MUTUAL ALBARAKA BALANCED FUND)

Please note that if you invest 100% into a Shari'ah-compliant fund(s), all impermissible interest will be paid to a charitable trust. However, in the event of retirement, death or where your investment breaches the requirements of Regulation 28 of the Pension Fund Act, we may move your investment to a money market fund where the investment will earn interest.

I acknowledge that I have opted to invest into a Shari'ah-compliant unit trust, which means that it will be managed in accordance with the guidelines of the Shari'ah Supervisory Board and having regard to the Shari'ah Standards of the Accounting and Auditing Organisation for Islamic Financial Institutions (AAOIFI). I agree that any income deemed to be non-permissible by the Shari'ah Supervisory Board will be paid to the charitable trust elected by the Shari'ah Supervisory Board in line with the principles of Shari'ah and the supplemental deed of the unit trust. I acknowledge that this does not form part of my income and will not reflect on my tax certificate. I take note that in some instances, income may be reflected as "interest income", but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

Date

D	D	M	M	C	C	Y	Y

Signature of investor

9. CLIENT DECLARATION

I understand and agree that:

1. The application Form, any applicable statutory provisions, the registered rules of the Fund and related documents form the sole basis of my membership of the Fund.
2. By signing the application Form, applying for membership of the Fund, I acknowledge that I am aware of all initial and ongoing fees, risks and all information relating to the portfolio and am solely responsible for the selection of, and any changes to, the composition of my portfolio at all times.
3. I agree to be bound by the registered rules of the Fund and acknowledge that the singular includes the plural in this application form and vice versa.
4. I hereby authorise and instruct the transferring fund to pay such amount into the Fund selected by me on page 1 of this form.
5. All information given in this application, and in all documents which have been or will be signed by me in connection with this application, whether in my handwriting or not, is true and complete and shall be the basis of the proposed agreement.
6. I may only make withdrawals from this product according to legislation and the registered rules of the Fund.
7. I hereby consent to Old Mutual sharing my personal information with tracing agents in the event that my investments become unclaimed assets.
8. I have read and am aware of the funds objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).

Signature

Date

D	D	M	M	C	C	Y	Y



CONTACT DETAILS

Old Mutual Investment Services (Pty) Ltd (Administrator) (Registration no. 1988/003478/07)

Registered address: Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa. PO Box 207, Cape Town 8000, South Africa.

Telephone no.: +27 (0)21 503 1770. Compliance Department: +27 (0)21 503 1770. Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Wealth Preservation Pension Fund: FSB No.: 12/8/37160/1 & SARS No.: 18/20/4/41437

Old Mutual Wealth Preservation Provident Fund: FSB No.: 12/8/37161/1 & SARS No.: 18/20/4/41460

Registered address: Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa. PO Box 207, Cape Town 8000, South Africa.

Telephone no.: 0860 234 234 (RSA only), +27 (0)21 503 1770 (other countries), Email: retire@oldmutual.com.

Board of Trustees: D L D Turpin* (Chairperson), V Bell*, A P J Cronje, T E P Browne and F Samodien.

Principal Officer: E M Gamble*, Deputy Principal Officer: AG Pelsler*

*Independent

Visit www.oldmutualinvest.com for unit trust information, prices and news. Log in to view your portfolio online.