



**IMPORTANT INFORMATION**

1. Please complete all the relevant sections and sign the applicable sections. For Individuals, please complete section 1, 3, 4, 5, 6, 8 and 13 and for Entities, please complete section 2, 3, 7, 8, and 14. If applicable, please complete sections 9 and 10.
2. The transfer notice must be signed by both the Transferor and the Transferee in the presence of a witness. The Transferor is the person from whom units are transferred. The Transferee is the person to whom the units are being transferred (person receiving units).
3. The Effective Annual Cost (EAC) is a standard industry measure for your information and to facilitate comparing the cost of an investment over specific periods. (Visit [www.oldmutualinvest.com](http://www.oldmutualinvest.com) for more information and to access the EAC illustrator.) The EAC of your investment will be confirmed in writing once your investment has been processed.
4. The completed form can be scanned and emailed to [uttransactions@oldmutual.com](mailto:uttransactions@oldmutual.com). Illegible and incomplete forms may lead to delays. Contact our Service Centre at 0860 234 234 if you have any queries.
5. Once your account has been opened, you can register via [www.oldmutualinvest.com](http://www.oldmutualinvest.com) to view and/or transact on your portfolio.
6. Complete the Additional and Monthly Investment Amendment Form, Switching Form or Selling Form for any additional changes.
7. It is important to provide a cellphone number, as transaction notifications are only sent via SMS.

**SUPPORTING DOCUMENTATION REQUIREMENTS**

Transfer requests require at least proof of identity (e.g. bar-coded ID/passport). The Financial Intelligence Centre Act (FICA) requires that the investor and all related parties are identified and verified before the investment is processed. We cannot process your application without the relevant information. Entity investors must also supply "Self-certification of Exchange Control Compliance" documentation, if applicable. We cannot process your application without the relevant information.

Please complete this form using block letters and tick (✓) where appropriate.

**1. TRANSFER FROM (TRANSFEROR): FOR INDIVIDUALS**

**INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD**

Surname and initials

Title and first names

Id number

**PROVIDE CONTACT INFORMATION OF TRANSFEROR**

Cellphone

Telephone (Home)

Telephone (Work)

E-mail Address

Postal address

Physical address

Postcode

**DETAILS OF PERSON ACTING ON BEHALF OF INVESTOR**

Power of attorney  Curatorship  Legal guardian  Parent  Other

If parent, please indicate whether minor lives with you Yes  No  Executor

Please provide your proof of identity (e.g. bar-coded ID/passport) and residential address (e.g. recent utility bill not older than 3 months)

Title and surname

Full first names

Gender: Male  Female



DETAILS OF PERSON ACTING ON BEHALF OF INVESTOR CONTINUED

**Proof of Identity:**

Please provide copy(s) of identification document(s) with this form.

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport

Other  State type eg. Social security number  Country of citizenship:

Country of birth:  Country of residence:  Country of nationality:

Cellphone

Telephone (Home)

Telephone (Work)

E-mail Address

Old Mutual Unit Trusts' preferred method of communication is e-mail

Preferred method of communication E-mail  Post

Care of indicator  No  Yes

If yes, Care of Name

Postal code

Country of address

**Postal address, if different from residential address**

Care of indicator  No  Yes

If yes, Care of Name

Postal code

Country of address



**2. TRANSFER FROM (TRANSFEROR): FOR ENTITIES**

**FULL NAME OF ENTITY IN WHICH THE INVESTMENT IS HELD**

Company/entity name

Full name of authorised representative

**PROVIDE CONTACT INFORMATION OF TRANSFEROR**

Cellphone

Telephone (Home)

Telephone (Work)

E-mail Address

Postal address

Physical address

Postcode

Postcode

**DETAILS OF PERSON ACTING ON BEHALF OF INVESTOR**

Power of attorney  Curatorship  Authorised representative  Other

Please provide your proof of identity (e.g. bar-coded ID/passport) and residential address (e.g. recent utility bill not older than 3 months)

Title and surname

Full first names

Gender: Male  Female

**Proof of Identity:**

Please provide copy(s) of identification document(s) with this form.

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other  State type eg. Social security number

Country of citizenship:

Country of birth:

Country of residence:

Country of nationality:

Cellphone

Telephone (Home)

Telephone (Work)

E-mail Address

Old Mutual Unit Trusts' preferred method of communication is e-mail

Preferred method of communication E-mail  Post





4. TRANSFER TO (TRANSFEEE): FOR INDIVIDUALS

I am investing: For myself  On behalf of someone else

State relationship

INDIVIDUAL IN WHOSE NAME INVESTMENT SHOULD BE TRANSFERRED TO

Title and surname

Full first names

Gender: Male  Female

Marital status: Single  Married  Divorced  Widowed  Other

Marital status type: Civil Marriage in Community of Property  Civil Marriage with Accrual  Civil Marriage without Accrual  Other

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other  State type eg. Social security number  Country of citizenship:

Country of birth:  Country of residence:  Country of nationality:

Cellphone

Telephone (Home)

Telephone (Work)

E-mail Address

Old Mutual Unit Trusts' preferred method of communication is e-mail

Preferred method of communication: E-mail  Post

Residential address

Care of indicator  No  Yes

If yes, Care of Name

Postal code

Country of address

Postal address, if different from residential address

Care of indicator  No  Yes

If yes, Care of Name

Postal code

Country of address



4. TRANSFER TO (TRANSFEEE): FOR INDIVIDUALS CONTINUED

**EMPLOYMENT DETAILS**

**Employment position:**

Managing director/CEO	<input type="checkbox"/>	Executive management/ Director	<input type="checkbox"/>	Senior management	<input type="checkbox"/>	Non-senior management	<input type="checkbox"/>
Foreman/Supervisor	<input type="checkbox"/>	General Employee/ Non-management	<input type="checkbox"/>	Self-employed	<input type="checkbox"/>	Retired/Unemployed	<input type="checkbox"/>

**Industry or nature of business:**

Administrative and Support service	<input type="checkbox"/>	Agriculture/ Forestry and Fishing	<input type="checkbox"/>	Arts/Entertainment and Recreation	<input type="checkbox"/>	Construction and Infrastructure	<input type="checkbox"/>
Education/Healthcare and Social work	<input type="checkbox"/>	Financial and Insurance	<input type="checkbox"/>	Hospitality	<input type="checkbox"/>	Imports/Exports	<input type="checkbox"/>
Information/Communication and Technology	<input type="checkbox"/>	Manufacturing	<input type="checkbox"/>	Mining and Quarrying	<input type="checkbox"/>	Motor trade	<input type="checkbox"/>
Non-Profit Organisation /NGO etc.	<input type="checkbox"/>	Other Services	<input type="checkbox"/>	Professional Services	<input type="checkbox"/>	Public Sector: Defence and Safety	<input type="checkbox"/>
Public Sector: Other	<input type="checkbox"/>	Public Sector: Procurement, Infrastructure and Administration	<input type="checkbox"/>	Real estate and Property	<input type="checkbox"/>	Science and Laboratories	<input type="checkbox"/>
Transporting, Storage and Logistics (excluding imports/exports)	<input type="checkbox"/>	Utilities	<input type="checkbox"/>	Wholesale and Retail trade	<input type="checkbox"/>	None	<input type="checkbox"/>

**Source of income:**

Annuity Payment	<input type="checkbox"/>	Commission	<input type="checkbox"/>	Director's remuneration	<input type="checkbox"/>	Disability Grants	<input type="checkbox"/>
Gratuities/Tips	<input type="checkbox"/>	Income protection payment	<input type="checkbox"/>	Independent contractor /Labour broker	<input type="checkbox"/>	Inheritance	<input type="checkbox"/>
Maintenance Support	<input type="checkbox"/>	Monthly Pension	<input type="checkbox"/>	Salary	<input type="checkbox"/>	Scholarship/Bursary	<input type="checkbox"/>
Self-Employed/ Own Business	<input type="checkbox"/>	Third Party (Spouse/Partner/ Family Member)	<input type="checkbox"/>	Other Investments Income	<input type="checkbox"/>		

**Source of funds for this transaction:**



**5. TAX RESIDENCY DETAIL - FOR INDIVIDUALS**

As a financial institution we are obliged to comply with international agreements signed by our government aimed at combatting tax evasion. In terms of these agreements, we are required to collect information regarding where you are resident for tax purposes including the related tax reference numbers. If you are resident for tax purposes in a country other than South Africa, certain of your information will be reported to SARS who will automatically share this information with the participating countries where required.

Each country has its own rules for whether a person is resident for tax purposes or not. It is possible to be resident for tax purposes in more than one country. Depending on the rules of the country, tax residency may be determined by factors such as where you permanently live, where you were born, or if you live and work in the country for more than half the year. If you are a US citizen or hold a US passport or green card, you will also be considered resident for tax purposes in the US even if you live outside the US, unless you have given up your citizenship.

**Please answer the following questions and complete your tax reference number(s) in the table below, along with details of your identifications and any other nationality or citizenship, providing identification for each country for which you are a resident for tax purposes.**

	Yes	No	Country of tax residence	Income tax reference number (TIN) <small>(* mandatory - If you do not have an Income Tax reference number please select reason code (A/B/C) in table below)</small>	Foreign ID/ passport of country	ID type
Are you a resident for Tax purposes in South Africa?						
If <b>YES</b> please include your South African Income Tax Number						
Are you a citizen and/or tax resident of the United States of America?						
If "Yes", please provide the following information						
Are you a resident for <b>tax purposes</b> in any other country?						
If "Yes", please provide the following information						
Country 1						
Country 2						
Country 3						

**TIN reason code table**

Reason	Description	Please tick (✓)	Why is TIN unobtainable
A	The country/jurisdiction does not issue TINs to its residents		
B	The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason)		
C	No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)		

I (Full Name) , ID/Passport number

certify that the information provided above is correct. If I am a tax resident of any country other than or in addition to South Africa, I have stated this above. I undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Ltd within 30 days should information contained in this form change.

Signature

Date



**6. CONFIRMATION OF TAX STATUS FOR WITHHOLDING TAX - FOR INDIVIDUALS**

Old Mutual Unit Trusts requests investors as beneficial owners of the investment, or their authorised signatory on behalf of the beneficial owner/ investors to confirm your tax status to us in writing in the section provided below. This is to ensure that you receive the applicable tax exemption or that your tax is at the applicable reduced rates for Dividends Tax and the Withholding tax on Interest. Should you not complete and sign this section, until we are in possession of correctly completed and signed forms, Old Mutual Unit Trusts will be required to:

- Withhold Dividends Tax at the applicable rate stipulated in the legislation on all dividends, and if applicable
- Withhold tax at the applicable rate stipulated in the legislation, on all interest, subject to the tax Withholding Tax on Interest (applicable to tax residents of a country other than South Africa).

**WHO QUALIFIES FOR A DIVIDENDS TAX EXEMPTION?**

All investors qualify for an exemption from Dividends Tax on dividends distributed. However, qualification is not automatic and you have to complete the Declaration below confirming where you are resident for tax purposes.

- If you are resident for Tax purpose in South Africa, you should qualify for the para (l) exemption because:
  - a. **Income declared by a Real Estate Investment Trust [REIT] is deemed a dividend and subject to normal Income Tax in the hands of a South African Tax Resident.**
  - b. Due to a South African Tax Resident being subject to normal tax on the income, exemption (l) would then apply for Dividend Tax.
- If you are not resident for tax purposes in South Africa, but resident for tax purposes in another jurisdiction, the para (j) exemption should apply where instances of para (x), (y) or (z) do not.

**To claim the benefit from a dividends tax exemption**

You as the investor, as the beneficial owner of this investment, or the authorised signatory on behalf of the beneficial owner, need to indicate below why the investor is exempt from dividends tax and to sign the declaration and undertaking provided below.

- Par (j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. a dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
- Par (l) – any person insofar as the dividend constitutes income of that person (i.e. falls into normal tax system)\*
- Par (x) – Other
- Par (y) – Double Taxation Agreement
- Par (z) – Other international agreement

**DECLARATION IN TERMS OF SECTION 64FA(1)(A)(I), 64G(2)(A)(AA) OR 64H(2)(A)(AA) OF THE ACT:**

I  (full names in print please), the undersigned, hereby declare that dividends paid to the beneficial owner is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Signature   
(Duly authorised to do so)

Date 

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Capacity of Signatory (if not the investor):- \_\_\_\_\_

**UNDERTAKING IN TERMS OF SECTIONS 64FA(1)(A)(II), 64G(2)(A)(BB) OR 64H(2)(A)(BB) OF THE ACT:**

I  (full names in print please), the undersigned, undertake to inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in this declaration change.

Signature   
(Duly authorised to do so)

Date 

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Capacity of Signatory (if not the investor):- \_\_\_\_\_

**CLAIMS FOR DIVIDENDS TAX REDUCED RATES TO BE APPLIED\*, EXEMPTION FROM THE WITHHOLDING TAX ON INTEREST OR FOR REDUCED RATES TO BE APPLIED IN RELATION TO THE WITHHOLDING TAX ON INTEREST\* (\*ONLY APPLICABLE TO PERSONS TAX RESIDENT IN A COUNTRY OTHER THAN SOUTH AFRICA)**

- I qualify for a reduced rate of Dividend Withholding Tax because a double tax agreement is in place. Please complete and sign the Declaration of Beneficial Owner Form in relation to Double Taxation Agreement relief available from [www.oldmutualinvest.com](http://www.oldmutualinvest.com) or by calling the Service Centre at 0860 234 234 and return the signed form to Old Mutual Unit Trusts to claim the reduced rate of tax.





**7. TRANSFER TO (TRANSFEEE): FOR ENTITIES**

**For this Transfer Instruction to be processed, the additional Tax Residency disclosures for reporting purposes (FATCA and CRS) will need to be completed and signed for on the ENTITY CLIENT DETAILS UPDATE FORM and submitted along with this form.**

**INSTITUTIONAL DETAIL**

FULL NAME OF THE LEGAL ENTITY IN WHICH THE INVESTMENT IS HELD

Entity Name

Entity Trade Name (if different from the legal entity name)

Country of Organisation or Incorporation

Type of Entity  Listed Company  Unlisted Company  Trust  Partnership  Close Corporation  Other

Entity Registration Number  Entity Registration Date  D D M M Y Y Y Y

Entity Tax Number  Entity VAT Number

Entity's Registered Address  Postal code

Country of address

Entity Trade Address  Postal code

Country of address

Telephone business  (For eg. South Africa +27)

Entity email address  (Old Mutual's preferred method of communication is email)

**DETAILS OF AUTHORISED PERSON (MANDATORY)**

Please complete if you are acting on behalf of the contracting party and/or controlling person.

Power of Attorney  Curatorship  Authorised by entity as authorised person (documentation required, e.g. a resolution)

Title and surname

Full first names

Gender: Male  Female

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other  State type eg. Social security number  Country of citizenship:

Country of birth:  Country of residence:  Country of nationality:





## 11. PROTECTION OF PERSONAL INFORMATION

Old Mutual would like to offer you ongoing financial services and may use your personal information to provide you with information about product or services that may be suitable for your financial needs.

Please indicate here if you do not wish to receive this.

We may use your information or obtain information about you for the following purposes:

- Fraud prevention and detection
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Verifying your identity
- Sharing information with service providers we engage to process such information on our behalf or who renders services to us.

These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

<http://www.justice.gov.za/inforeg/index.html>

Tel: 012 406 4818 | Fax: 086 500 3351

Email: [inforeg@justice.gov.za](mailto:inforeg@justice.gov.za)

You may access the personal information that we hold and request us to correct any errors or to delete this information. To view our full privacy notice and to exercise preferences, visit our website on [www.oldmutualinvest.com](http://www.oldmutualinvest.com).

## 12. DISCLAIMER

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial adviser before buying or selling unit trusts. You may however, buy and sell without the help of a financial adviser. If you do use a financial adviser, we remind you that they are entitled to certain negotiable adviser fees or commissions.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off time for client instructions (e.g. buying and selling) is at 15:00 each working day for all funds, except the Money Market Funds, which is at 13:00. These are also the times we value our funds to determine the daily ruling price (other than at month-end when we value the Old Mutual Index Funds and Old Mutual Multi-Managers Fund of Funds ranges at 17:00 close). Daily prices are available on our public website ([www.oldmutualinvest.com](http://www.oldmutualinvest.com)) and in the media.
- The daily ruling price is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue.
- The fund fees and costs that we charge for managing your investment is accessible on the relevant fund's minimum disclosure document (MDD) or Table of fees and charges, both available on our public website ([www.oldmutualinvest.com](http://www.oldmutualinvest.com)), or from our contact centre (0860 234 234).
- Additional information on this proposed investment can be obtained, free of charge, from our public website or our contact centre.
- Funds may borrow to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002.
- Old Mutual is a member of the Association for Savings & Investment South Africa (ASISA).

### Money Market Funds:

A Money Market Fund is not a bank deposit account. Its unit price aims to be constant but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13:00) but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.

### Income Funds:

Income funds derive their income primarily from interest-bearing instruments as defined. The published yield is a current yield and is calculated daily. The value of underlying assets of Income funds are marked to market on a daily basis.

### Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure.

### Feeder Funds:

A feeder fund is a portfolio that invests all of its capital in a single underlying fund. This underlying fund can be a local, foreign or offshore fund and levy its own charges which may result in a higher fee structure.

### Third-Party Named Funds (co-branded):

Old Mutual Unit Trust Managers (RF) (Pty) Ltd is the manager of Adviceworx, Old Mutual Multi-Managers and Public Investment Corporation SOC Limited suites of co-branded funds and retains full legal accountability.

### Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.



**13. DECLARATION - FOR INDIVIDUALS**

**Signed by Investor or appointed person acting on behalf of the Investor as completed on this form.**

- I agree to accept the number of units sold to me by virtue of this application and I warrant that I have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement.
- I am aware that this product offers no cooling-off rights.
- I know that the cost of buying units may include an initial charge plus VAT, depending on the amount invested and whether or not I use an intermediary, and that there are no guarantees on my capital.
- I acknowledge that there are further allowable deductions from the unit trust/s (e.g. an annual service fee) that impact on the value of my investment. I am satisfied that the facts provided are accurate and complete. I am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s.
- I acknowledge that Old Mutual Unit Trust Managers (RF) (Pty) Ltd may borrow up to 10% of the portfolio to bridge insufficient liquidity.
- I have read and fully understood all the pages of this application form.
- I acknowledge that I am responsible for ensuring that my contact details are up to date and in the event of my assets being declared unclaimed, Old Mutual Unit Trust Managers (RF) (Pty) Ltd will take action to trace me. All such costs shall be borne by me and maybe deducted from the value of my investment on an ongoing basis or once I have been located.
- I hereby authorise Old Mutual Unit Trust Managers (RF) (Pty) Ltd to debit the account mentioned above with the monthly amount/lump sum specified.
- I further authorise Unit Trust Managers (RF) (Pty) Ltd to accept instructions from me via facsimile (please delete if not applicable).
- We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.
- I am aware that I may view the Effective Annual Cost (EAC) of my investment on [www.oldmutualinvest.com](http://www.oldmutualinvest.com). Once my investment has been processed, Old Mutual Unit Trust Managers (RF) (Pty) Ltd will confirm the EAC to me in writing.
- I have read and am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).
- I warrant that all the information given in this form, and in the additional documentation specifically requested by Old Mutual Unit Trust Managers (RF) (Pty) Ltd, as well as in any documentation which will be or has been supplied and signed by me in connection with this form, is true and correct and as per my instructions, whether in my handwriting or not.
- I undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Ltd within 30 days should information contained in this form change.
- I understand that Old Mutual Unit Trust Managers (RF) (Pty) Ltd may be legally obliged to disclose information in this form and in any other documentation supplied in connection with this contract to the South African Revenue Services and other legal authorities.
- I take note that in some instances, income may be reflected as "interest income" but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

Signed this  day of  (year)

Witness  (NAME)  (SIGNATURE)

(SIGNATURE OF TRANSFEREE)

Address

PRINT NAME OF TRANSFEREE (CAPACITY, E.G. EXECUTOR/GUARDIAN/ INSTITUTION)



#### 14. DECLARATION OF AUTHORISED SIGNATORY/IES - FOR ENTITIES

- I agree to accept the number of units sold to me by virtue of this application and I warrant that I have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement.
- I/we am aware that this product offers no cooling-off rights.
- I/we know that the cost of buying units may include an initial charge plus VAT, depending on the amount invested and whether or not I use an intermediary, and that there are no guarantees on my capital.
- I/we acknowledge that there are further allowable deductions from the unit trust/s (e.g. an annual service fee) that impact on the value of my investment.
- I am satisfied that the facts provided are accurate and complete. I am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s.
- I/we acknowledge that Old Mutual Unit Trust Managers (RF) (Pty) Ltd may borrow up to 10% of the portfolio to bridge insufficient liquidity.
- I/we have read and fully understood all the pages of this application form.
- I/we acknowledge that I am responsible for ensuring that my contact details are up to date and in the event of my assets being declared unclaimed, Old Mutual Unit Trust Managers (RF) (Pty) Ltd will take action to trace me. All such costs shall be borne by me and maybe deducted from the value of my investment on an ongoing basis or once I have been located.
- I/we hereby authorise Old Mutual Unit Trust Managers (RF) (Pty) Ltd to debit the account mentioned above with the monthly amount/lump sum specified.
- I/we further authorise Unit Trust Managers (RF) (Pty) Ltd to accept instructions from me via facsimile (please delete if not applicable).
- We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.
- I/we am aware that I may view the Effective Annual Cost (EAC) of my investment on [www.oldmutualinvest.com](http://www.oldmutualinvest.com). Once my investment has been processed, Old Mutual Unit Trust Managers (RF) (Pty) Ltd will confirm the EAC to me in writing.
- I/we have read and am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).
- I/we warrant that all the information given in this form, and in the additional documentation specifically requested by Old Mutual Unit Trust Managers (RF) (Pty) Ltd, as well as in any documentation which will be or has been supplied and signed by me in connection with this form, is true and correct and as per my instructions, whether in my handwriting or not.
- I/we undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Ltd within 30 days should information contained in this form change.
- I/we understand that Old Mutual Unit Trust Managers (RF) (Pty) Ltd may be legally obliged to disclose information in this form and in any other documentation supplied in connection with this contract to the South African Revenue Services and other legal authorities.
- I/we take note that in some instances, income may be reflected as "interest income" but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

#### CONTACT DETAILS

Old Mutual Unit Trust Managers (RF) (Pty) Ltd  
(Registration no. 65/08471/07)  
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa  
PO Box 207, Cape Town 8000, South Africa  
Telephone no.: +27(0)21 503 1770  
Helpline: 0860 234 234  
Internet address: [www.oldmutualinvest.com](http://www.oldmutualinvest.com)  
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Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website [www.oldmutualinvest.com](http://www.oldmutualinvest.com) or by contacting 0860 234 234.

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