



1. INVESTOR'S DETAILS CONTINUED

1 (b). LEGAL ENTITY IN WHICH INVESTMENT IS HELD

Entity Name

Entity Trade Name (if different from the legal entity name)

Details of authorised person

Power of Attorney Curatorship Authorised by entity as authorised person (documentation required, e.g. a resolution)

Title and surname

Full first names

ID/Passport/Other Number

We confirm that the current Entity Details and Tax Residency Detail are unchanged since the last transaction on this portfolio Yes No

If NO, I confirm I have completed the Old Mutual Unit Trusts Entity Client Details Update form [\[click here to complete form\]](#) which I will submit with this investment instruction.

I confirm that all existing Adviser Fee instructions as per my original investment instruction remain unchanged Yes No

If NO, I have revised the fees with my Adviser and stipulated on the IAN form [\[click here to complete form\]](#) in Section D, which I will submit.

2. ADDITIONAL LUMP SUM INVESTMENT

Old Mutual Unit Trusts is under no obligation to accept below minimum investments and reserves the right not to debit or to cease debiting your account under certain circumstances. If no selection is made, distributions are automatically reinvested. To view the Effective Annual Cost (EAC) of your investment, visit www.oldmutualinvest.com.

Lump sum investment

A Please debit my bank account with * on

* The transaction reference you will view on your bank statement for this instruction will be OM UNITTRU followed by your unit trust fund account number.

OR

B I will transfer via EFT into Old Mutual Unit Trusts' bank account

STEPS TO FOLLOW FOR AN EFT (ELECTRONIC FUNDS TRANSFER) PAYMENT

- Email your completed application form and supporting documents to uttransactions@oldmutual.com.
- Once we have received all your documentation, we will email your confirmation letter reflecting our bank account details and your unit trust client account number. Please use your client account number in your reference when making an Electronic Funds Transfer (EFT).
- Please Email proof of payment of the investment amount to uttransactions@oldmutual.com by 13:00 for Money Market Fund and 15:00 for all other Funds. Proof of payments received after these cut-off times will be processed the next working day with the same cut-off times applying. The investment will be processed according to the processing requirements set out on Page 1 of this form.

For any further assistance in finalising your investment you may contact our Service Centre at 0860 234 234 or +27(0)21 503 1770.



2. ADDITIONAL LUMP SUM INVESTMENT CONTINUED

Please click [here](#) to view the full range of Old Mutual Unit Trust funds available to you for investment.

Name your investment contract (e.g. John's Education/Overseas Trip)

UNIT TRUST NAME	LUMP SUM AMOUNT
R	

DISTRIBUTIONS SELECTION:

Payout Re-invest to existing fund account

Re-invest to new fund account Name of Fund Account:

3. CHANGES TO YOUR MONTHLY INVESTMENT PLAN

Unit trust minimums apply. Please allow 10 days' notice to amend debit order instructions. If no changes are indicated below, current debit orders will continue as per current instructions.

New debit order

Please click [here](#) to view the full range of Old Mutual Unit Trust funds available to you for investment.

UNIT TRUST NAME	MONTHLY	DISTRIBUTIONS (✓ to action)	
		Pay out	Reinvest*
TOTAL		R	

Cancel all existing debit orders to this portfolio

OR

Amend existing debit order as per table below

UNIT TRUST NAME (Current monthly investment)	TO (unit trust name)	MONTHLY	DISTRIBUTIONS (✓ to action)	
			Pay out	Reinvest*
TOTAL		R		

* If no choice is made, we will default to reinvesting distributions.

REGULAR INVESTMENT

Please select only one debit order date for your contract

1
3
7
15
20
27

Please allow at least 10 days' notice.

Commencing month of your debit order

You can increase your debit order on the anniversary date of your investment contract to counteract the effects of inflation on your investment.

Please select a percentage increase: 5% 10% 15% or %



4. INCOME VERIFICATION

We require that you confirm your source of income and source of funds for this transaction in order for us to process your investment.

Source of income: Salary Bonus Inheritance Retirement benefit Other

Source of funds for this transaction

5. OLD MUTUAL SHARI'AH-COMPLIANT FUND RANGE

I agree that any income deemed to be non-permissible by the Shari'ah Supervisory Board will be paid to the charitable trust elected by the Shari'ah Supervisory Board in line with the principles of Shari'ah and the supplemental deed of the unit trust. I acknowledge that this does not form part of my income and will not reflect on my tax certificate.

Date

D	D	M	M	C	C	Y	Y

Signature of investor

6. DECLARATION BY ADVISER/BROKER

I, the undersigned, hereby declare and warrant that I am duly authorised to render financial services in respect of this product. All parties concerned have been identified and verified and all relevant documentation has been obtained and appropriate procedures have been applied in accordance with FICA (Act 38 of 2001). I confirm that all personal information is true and correct and there are no anomalies to my knowledge.

Name of introducer

Branch

Intermediary/Broker code

Tel. no.

Introducer's email address

Signature of introducer



7. DISCLAIMER

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial adviser before buying or selling unit trusts. You may however, buy and sell without the help of a financial adviser. If you do use a financial adviser, we remind you that they are entitled to certain negotiable adviser fees or commissions.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off time for client instructions (e.g. buying and selling) is at 15:00 each working day for all funds, except the Money Market Funds, which is at 13:00. These are also the times we value our funds to determine the daily ruling price (other than at month-end when we value the Old Mutual Index Funds and Old Mutual Multi-Managers Fund of Funds ranges at 17:00 close). Daily prices are available on our public website (www.oldmutualinvest.com) and in the media.
- The daily ruling price is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue.
- The fund fees and costs that we charge for managing your investment is accessible on the relevant fund's minimum disclosure document (MDD) or Table of fees and charges, both available on our public website (www.oldmutualinvest.com), or from our contact centre (0860 234 234).
- Additional information on this proposed investment can be obtained, free of charge, from our public website or our contact centre.
- Funds may borrow to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002.
- Old Mutual is a member of the Association for Savings & Investment South Africa (ASISA).

Money Market Funds:

A Money Market Fund is not a bank deposit account. Its unit price aims to be constant but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13:00) but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.

Income Funds:

Income funds derive their income primarily from interest-bearing instruments as defined. The published yield is a current yield and is calculated daily. The value of underlying assets of Income funds are marked to market on a daily basis.

Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure.

Feeder Funds:

A feeder fund is a portfolio that invests all of its capital in a single underlying fund. This underlying fund can be a local, foreign or offshore fund and levy its own charges which may result in a higher fee structure.

Third-Party Named Funds (co-branded):

Old Mutual Unit Trust Managers (RF) (Pty) Ltd is the manager of Adviceworx, Old Mutual Multi-Managers and Public Investment Corporation SOC Limited suites of co-branded funds and retains full legal accountability.

Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.



8. DECLARATION OF AUTHORISED SIGNATORY/IES

Signed by Investor or appointed person acting on behalf of the Investor as completed on Page 3 of this form.

- I/we agree to accept the number of units sold to me by virtue of this application and I warrant that I have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement.
- I/we am aware that this product offers no cooling-off rights.
- I/we know that the cost of buying units may include an initial charge plus VAT, depending on the amount invested and whether or not I use an intermediary, and that there are no guarantees on my capital.
- I/we acknowledge that there are further allowable deductions from the unit trust/s (e.g. an annual service fee) that impact on the value of my investment. I am satisfied that the facts provided are accurate and complete. I am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s.
- I/we acknowledge that Old Mutual Unit Trust Managers (RF) (Pty) Ltd may borrow up to 10% of the portfolio to bridge insufficient liquidity.
- I/we have read and fully understood all the pages of this application form.
- I/we acknowledge that I am responsible for ensuring that my contact details are up to date and in the event of my assets being declared unclaimed, Old Mutual Unit Trust Managers (RF) (Pty) Ltd will take action to trace me. All such costs shall be borne by me and maybe deducted from the value of my investment on an ongoing basis or once I have been located.
- I/we hereby authorise Old Mutual Unit Trust Managers (RF) (Pty) Ltd to debit the account mentioned above with the monthly amount/lump sum specified.
- I/we further authorise Unit Trust Managers (RF) (Pty) Ltd to accept instructions from me via facsimile (please delete if not applicable).
- We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.
- I/we am aware that I may view the Effective Annual Cost (EAC) of my investment on www.oldmutualinvest.com. Once my investment has been processed, Old Mutual Unit Trust Managers (RF) (Pty) Ltd will confirm the EAC to me in writing.
- I/we have read and am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).
- I/we warrant that all the information given in this form, and in the additional documentation specifically requested by Old Mutual Unit Trust Managers (RF) (Pty) Ltd, as well as in any documentation which will be or has been supplied and signed by me in connection with this form, is true and correct and as per my instructions, whether in my handwriting or not.
- I/we undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Ltd within 30 days should information contained in this form change.
- I/we understand that Old Mutual Unit Trust Managers (RF) (Pty) Ltd may be legally obliged to disclose information in this form and in any other documentation supplied in connection with this contract to the South African Revenue Services and other legal authorities.
- I/we take note that in some instances, income may be reflected as "interest income" but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

Signed at (place)

on (date)

D	D	M	M	C	C	Y	Y

Signature of contracting party

Signature of contracting party

Signature of legal guardian/parent (if applicable) of contracting party

Signature of authorised person (on behalf of contracting party)

Print name of authorised signatory (on behalf of Entity)

Signature of authorised signatory (on behalf of Entity)



OLD MUTUAL UNIT TRUSTS
ADDITIONAL & MONTHLY INVESTMENT AMENDMENT FORM
(FOR INDIVIDUALS AND LEGAL ENTITIES)

CONTACT DETAILS

Old Mutual Unit Trust Managers (RF) (Pty) Ltd

Registration no. 65/08471/07
VAT no. 4230116479
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa
PO Box 207, Cape Town 8000, South Africa
Telephone no.: +27(0)21 503 1770
Helpline: 0860 234 234
Internet address: www.oldmutualinvest.com
Email: unittrusts@oldmutual.com

Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website www.oldmutualinvest.com or by contacting 0860 234 234.

Compliance Department: +27(0)21 503 1770

Visit www.oldmutualinvest.com for unit trust information, prices and news. Log in to view your portfolio online.

Clients who select email as their preferred correspondence method benefit from an enhanced communication offering.

Old Mutual Investment Administrators (Pty) Ltd

Registration no. 1988/003478/07
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa
PO Box 5408, Cape Town 8000, South Africa
Telephone no.: +27(0)21 503 1770
Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.