



Please provide us with your updated personal details to enable us to serve you better. The completed form can be scanned and emailed to [uttransactions@oldmutual.com](mailto:uttransactions@oldmutual.com).

Unit Trust Number

Investment for myself  OR Investment in the Name of  State relationship

1. PERSONAL INFORMATION

Title and surname

Full first names

Gender: Male  Female

Marital status Single  Married  Divorced  Widowed  Other

Marital status type Civil Marriage in Community of Property  Civil Marriage with Accrual  Civil Marriage without Accrual  Other

Occupation:

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport

Other  State type eg. Social security number  Country of citizenship:

Country of birth:  Country of residence:  Country of nationality:

Contact details:

Cellphone   
(For eg. South Africa +27)

Telephone (Home)   
(For eg. South Africa +27)

Telephone (Work)   
(For eg. South Africa +27)

E-mail Address

Old Mutual Unit Trusts' preferred method of communication is e-mail

Preferred method of communication E-mail  Post

Residential address

My address  OR Care of (c/o)\* address  Care of (c/o)\* Name

\* Care of: If you do not have a residential address of your own and require for your post to be directed to the care of this person

Address

Postal code

Country





4. TAX RESIDENCY DETAIL CONTINUED

TIN reason code table

Reason	Description	Please tick (✓)	Why is TIN unobtainable
A	The country/jurisdiction does not issue TINs to its residents		
B	The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason)		
C	No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)		

I (Full Name)  , ID/Passport number

certify that the information provided above is correct. If I am a tax resident of any country other than or in addition to South Africa, I have stated this above. I undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Ltd within 30 days should information contained in this form change.

Signature

Date

5. CONFIRMATION OF TAX STATUS FOR WITHHOLDING TAX

Old Mutual Unit Trusts requests investors as beneficial owners of the investment, or their authorised signatory on behalf of the beneficial owner/ investors to confirm your tax status to us in writing in the section provided below. This is to ensure that you receive the applicable tax exemption or that your tax is at the applicable reduced rates for Dividends Tax and the Withholding tax on Interest. Should you not complete and sign this section, until we are in possession of correctly completed and signed forms, Old Mutual Unit Trusts will be required to:

- Withhold Dividends Tax at the applicable rate stipulated in the legislation on all dividends, and if applicable
- Withhold tax at the applicable rate stipulated in the legislation, on all interest, subject to the tax Withholding Tax on Interest (applicable to tax residents of a country other than South Africa).

**WHO QUALIFIES FOR A DIVIDENDS TAX EXEMPTION?**

All investors qualify for an exemption from Dividends Tax on dividends distributed. However, qualification is not automatic and you have to complete the Declaration below confirming where you are resident for tax purposes.

- If you are resident for Tax purpose in South Africa, you should qualify for the para (l) exemption because:
  - a. Income declared by a Real Estate Investment Trust [REIT] is deemed a dividend and subject to normal Income Tax in the hands of a South African Tax Resident.
  - b. Due to a South African Tax Resident being subject to normal tax on the income, exemption (l) would then apply for Dividend Tax.
- If you are not resident for tax purposes in South Africa, but resident for tax purposes in another jurisdiction, the para (j) exemption should apply where instances of para (x), (y) or (z) do not.

**To claim the benefit from a dividends tax exemption**

You as the investor, as the beneficial owner of this investment, or the authorised signatory on behalf of the beneficial owner, need to indicate below why the investor is exempt from dividends tax and to sign the declaration and undertaking provided below.

- Par (j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. a dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
- Par (l) – any person insofar as the dividend constitutes income of that person (i.e. falls into normal tax system)\*
- Par (x) – Other
- Par (y) – Double Taxation Agreement
- Par (z) – Other international agreement



5. CONFIRMATION OF TAX STATUS FOR WITHHOLDING TAX CONTINUED

**DECLARATION IN TERMS OF SECTION 64FA(1)(A)(I), 64G(2)(A)(AA) OR 64H(2)(A)(AA) OF THE ACT:**

I  (full names in print please), the undersigned, hereby declare that dividends paid to the beneficial owner is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Signature   
(Duly authorised to do so)

Date 

D	D	M	M	C	C	Y	Y

Capacity of Signatory (if not the investor):- \_\_\_\_\_

**UNDERTAKING IN TERMS OF SECTIONS 64FA(1)(A)(II), 64G(2)(A)(BB) OR 64H(2)(A)(BB) OF THE ACT:**

I  (full names in print please), the undersigned, undertake to inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in this declaration change.

Signature   
(Duly authorised to do so)

Date 

D	D	M	M	C	C	Y	Y

Capacity of Signatory (if not the investor):- \_\_\_\_\_

**CLAIMS FOR DIVIDENDS TAX REDUCED RATES TO BE APPLIED\*, EXEMPTION FROM THE WITHHOLDING TAX ON INTEREST OR FOR REDUCED RATES TO BE APPLIED IN RELATION TO THE WITHHOLDING TAX ON INTEREST\* (\*ONLY APPLICABLE TO PERSONS TAX RESIDENT IN A COUNTRY OTHER THAN SOUTH AFRICA)**

I qualify for a reduced rate of Dividend Withholding Tax because a double tax agreement is in place. Please complete and sign the Declaration of Beneficial Owner Form in relation to Double Taxation Agreement relief available from [www.oldmutualinvest.com](http://www.oldmutualinvest.com) or by calling the Service Centre at 0860 234 234 and return the signed form to Old Mutual Unit Trusts to claim the reduced rate of tax.

Signed at (place)

on (date) 

D	D	M	M	C	C	Y	Y

Signature of contracting party

CONTACT DETAILS

**Old Mutual Unit Trust Managers (RF) (Pty) Ltd**  
(Registration no. 65/08471/07)  
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa  
PO Box 207, Cape Town 8000, South Africa  
Telephone no.: +27(0)21 503 1770  
Helpline: 0860 234 234  
Internet address: [www.oldmutualinvest.com](http://www.oldmutualinvest.com)  
Email: [unittrusts@oldmutual.com](mailto:unittrusts@oldmutual.com)

Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website [www.oldmutualinvest.com](http://www.oldmutualinvest.com) or by contacting 0860 234 234.

Compliance Department: +27(0)21 503 1770

**Old Mutual Investment Administrators (Pty) Ltd**  
(Registration no. 1988/003478/07)  
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa  
PO Box 5408, Cape Town 8000, South Africa  
Telephone no.: +27(0)21 503 1770  
Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.

Visit [www.oldmutualinvest.com](http://www.oldmutualinvest.com) for unit trust information, prices and news. Log in to view your portfolio online.

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