



Return form by scanning and emailing it to [groupctrcorporates@oldmutual.com](mailto:groupctrcorporates@oldmutual.com). Contact our Service Centre at 0860 234 234 if you have any queries.

**WHO SHOULD COMPLETE THIS FORM?**

- Please complete and sign this form if you are an investor subject to a different rate of tax on local interest as a result of a Double Tax Agreement (DTA) or if you represent a legal entity that is exempt from Withholding Tax on Interest (WTI).
- The owner of the interest must complete and sign all the relevant sections.
- Individuals who are tax resident in South Africa are not subject to the normal rate of WTI and do not have to complete this form. Where tax is applied, the WTI will automatically be withheld and interest paid net of tax.

**PLEASE NOTE:**

- This declaration is made in respect of all the unit trust funds held within the investor's standard unit trust portfolio, in terms of the Income Tax Act (No. 58 of 1962) (the Act). It applies to all Collective Investment Scheme (CIS) unit trust fund portfolios as managed by Old Mutual Unit Trust Managers (RF) (Pty) Ltd. These unit trust fund portfolios are listed on the last page of this form.
- If you don't complete, sign and return this form to Old Mutual Unit Trusts (OMUT), we are obliged to withhold tax on local interest at the applicable rate stipulated in legislation.
- Duly completed and signed forms that reach us by 15h00 will be processed on the same day.

Please complete this form using block letters and tick (✓) where appropriate

**INSTRUCTIONS**

Please complete section 1, and then select the applicable option below.

- A.  I/We hereby apply for exemption. Complete and sign section 2.
- OR
- B.  I/We hereby apply for a reduced rate of tax. Complete and sign section 3.

**SECTION 1: PARTICULARS OF THE FOREIGN PERSON (INVESTOR)**

Unit trust number

**INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD**

Title and surname

Full first names

Gender: Male  Female  Marital status: Married  Single

ID/Passport number  Date of birth  **D D M M C C Y Y**

South African income tax number  Country of residence

Country of tax residence  If passport, country of issue

**OR FULL NAME(S) OF INSTITUTIONAL INVESTOR IN WHICH NAME THE INVESTMENT IS HELD**

Company/entity/registered name (if applicable)

Full name of authorised representative

South African income tax number

Company registration number

Founding/registration/inception date  **D D M M C C Y Y** Country of tax residence



**SECTION 1: PARTICULARS OF THE FOREIGN PERSON (INVESTOR) CONTINUED**

**PROVIDE APPLICABLE CONTACT INFORMATION**

Cellphone   
(For eg. South Africa +27)

Telephone (Home)   
(For eg. South Africa +27)

Telephone (Work)   
(For eg. South Africa +27)

E-mail Address

**Old Mutual Unit Trusts' preferred method of communication is e-mail**

Postal address   
  
  
Postcode

Physical address   
  
  
Postcode

Nature of Person:

- Individual
- Company
- Trust
- Government (political subdivision, state, province or local authority)
- Other (if selected please provide a description/explanation of nature of the entity/person):

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**SECTION 2: DECLARATION IN RESPECT OF EXEMPTION FROM TAX**

Please indicate the reason why the foreign person referred to in Section 1 above is exempt from the withholding tax on interest:

- The foreign person is a natural person who was physically present in the Republic for a period exceeding 183 days in aggregate during the twelve-month period preceding the date on which the interest is paid.
- The debt claim in respect of which the interest is paid is effectively connected with a permanent establishment of that foreign person in the Republic if that foreign person is registered as a taxpayer in terms of Chapter 3 of the Tax Administration Act, 2011.
- Exempt/Not taxable in terms of an Agreement for the avoidance of Double Taxation and Prevention of Fiscal Evasion in force of the relevant date between the Republic of South Africa and the country of residence of the foreign person.
- Exempt/Not taxable in terms of any other international agreement applicable to the foreign person. If this option is selected please provide an explanation of the reason and description of the said agreement:

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- Other. If this option is selected please provide a detailed description/explanation of the reason the foreign person is considered to be exempt:

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**SECTION 2: DECLARATION IN RESPECT OF EXEMPTION FROM TAX** CONTINUED

Declaration in terms of section 50E(2) of the Act:

I  (full names in print please), the undersigned hereby declare that the foreign person referred to in Section 1 to or for the benefit of which the interest is paid is exempt from the withholding tax on interest in terms of section 50D(3) of the Act, or otherwise, as indicated above. I also undertake to forthwith inform the person paying the interest in writing should the circumstances of the foreign person referred to in this declaration change.

The date from which this declaration is effective is 

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Signature   
(Duly authorised to do so)

Date 

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Capacity of Signatory\*:

\* If not the foreign person to or for the benefit of which the interest is paid.

**SECTION 3: DECLARATION FOR REDUCED RATE OF TAX**

Declaration in terms of section 50E(3) of the Act:

I  (full names in print please), the undersigned declare that all the relevant requirements in terms of Article  of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force on the relevant date between the Republic of South Africa and the country of residence of the foreign person referred to in Section 1 above have been met, and that the interest is therefore subject to a reduced rate of %. I also undertake to forthwith inform the person paying the interest in writing should the circumstances of the foreign person referred to in this declaration change.

The date from which this declaration is effective is 

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Signature   
(Duly authorised to do so)

Date 

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Capacity of Signatory\*:

\* If not the foreign person to or for the benefit of which the interest is paid.

**SECTION 4: PROTECTION OF PERSONAL INFORMATION**

Old Mutual would like to offer you ongoing financial services and may use your personal information to provide you with information about product or services that may be suitable for your financial needs.

Please indicate here if you do not wish to receive this.

We may use your information or obtain information about you for the following purposes:

- Fraud prevention and detection
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Verifying your identity
- Sharing information with service providers we engage to process such information on our behalf or who renders services to us.

These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

<http://www.justice.gov.za/inforeg/index.html>  
Tel: 012 406 4818  
Fax: 086 500 3351  
Email: [inforeg@justice.gov.za](mailto:inforeg@justice.gov.za)

You may access the personal information that we hold and request us to correct any errors or to delete this information. To view our full privacy notice and to exercise preferences, visit our website on [www.oldmutualinvest.com](http://www.oldmutualinvest.com).



## SECTION 5: STATUTORY INFORMATION

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial adviser before buying or selling unit trusts. You may however, buy and sell without the help of a financial adviser. If you do use a financial adviser, we remind you that they are entitled to certain negotiable adviser fees or commissions.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off time for client instructions (e.g. buying and selling) is at 15:00 each working day for all funds, except the Money Market Funds, which is at 13:00. These are also the times we value our funds to determine the daily ruling price (other than at month-end when we value the Old Mutual Index Funds and Old Mutual Multi-Managers Fund of Funds ranges at 17:00 close). Daily prices are available on our public website ([www.oldmutualinvest.com](http://www.oldmutualinvest.com)) and in the media.
- The daily ruling price is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue.
- The fund fees and costs that we charge for managing your investment is accessible on the relevant fund's minimum disclosure document (MDD) or Table of fees and charges, both available on our public website ([www.oldmutualinvest.com](http://www.oldmutualinvest.com)), or from our contact centre (0860 234 234).
- Additional information on this proposed investment can be obtained, free of charge, from our public website or our contact centre.
- Funds may borrow to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- Old Mutual Unit Trusts has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002.
- Old Mutual is a member of the Association for Savings & Investment South Africa (ASISA).

### Money Market Funds:

A Money Market Fund is not a bank deposit account. Its unit price aims to be constant but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13:00) but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate.

### Income Funds:

Income funds derive their income primarily from interest-bearing instruments as defined. The published yield is a current yield and is calculated daily. The value of underlying assets of Income funds are marked to market on a daily basis.

### Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure.

### Feeder Funds:

A feeder fund is a portfolio that invests all of its capital in a single underlying fund. This underlying fund can be a local, foreign or offshore fund and levy its own charges which may result in a higher fee structure.

### Third-Party Named Funds (co-branded):

Old Mutual Unit Trust Managers (RF) (Pty) Ltd is the manager of Adviceworx and Old Mutual Multi-Managers suites of co-branded funds and retains full legal accountability.

### Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.

## CONTACT DETAILS

### Old Mutual Unit Trust Managers (RF) (Pty) Ltd

Registration no.: 65/08471/07  
Tax registration number: 9900144719  
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa  
PO Box 207, Cape Town 8000, South Africa  
Telephone no.: +27(0)21 503 1770  
Helpline: 0860 234 234  
Internet address: [www.oldmutualinvest.com](http://www.oldmutualinvest.com)  
Email: [unittrusts@oldmutual.com](mailto:unittrusts@oldmutual.com)

Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website [www.oldmutualinvest.com](http://www.oldmutualinvest.com) or by contacting 0860 234 234.

Compliance Department: +27(0)21 503 1770

Visit [www.oldmutualinvest.com](http://www.oldmutualinvest.com) for unit trust information, prices and news. Log in to view your portfolio online.

Clients who select email as their preferred correspondence method benefit from an enhanced communication offering.

### Old Mutual Investment Administrators (Pty) Ltd

Registration no.: 1988/003478/07  
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa  
PO Box 5408, Cape Town 8000, South Africa  
Telephone no.: +27(0)21 503 1770  
Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.



**OLD MUTUAL UNIT TRUSTS**  
**WITHHOLDING TAX ON INTEREST DECLARATION**

<b>FUND NAME</b>	<b>REFERENCE NUMBER</b>
Old Mutual Albaraka Balanced Fund	9242/164/18/5
Old Mutual Albaraka Equity Fund	9217/086/18/1
Old Mutual Balanced Fund	9440/007/60/8
Old Mutual Bond Fund	9002/001/60/1
Old Mutual Capital Builder Fund	9076/017/18/6
Old Mutual Capped SWIX Index Fund	9203/101/23/4
Old Mutual Core Balanced Fund	0556/838/25/8
Old Mutual Core Conservative Fund	9256/734/22/0
Old Mutual Core Moderate Fund	0839/123/24/7
Old Mutual Dynamic Floor Fund	9131/529/15/9
Old Mutual Equity Fund	0058/339/30/0
Old Mutual Flexible Fund	9301/002/60/7
Old Mutual FTSE RAFI® All World Index Feeder Fund	9430/174/17/8
Old Mutual Global Currency Feeder Fund	9245/117/18/0
Old Mutual Global Equity Fund	9000/041/60/9
Old Mutual Gold Fund	9000/032/60/8
Old Mutual Income Fund	9430/004/60/7
Old Mutual Institutional Money Market Fund	9344/429/15/5
Old Mutual Institutional Short Term Interest Fund	9155/267/24/9
Old Mutual Interest Plus Fund	9284/966/17/4
Old Mutual Investors' Fund	9430/021/60/1
Old Mutual Managed Alpha Equity Fund	9218/095/18/1
Old Mutual Maximum Return Fund of Funds	9543/989/16/5
Old Mutual Mid & Small-Cap Fund	9318/002/60/8
Old Mutual Moderate Balanced Fund	1501/123/18/4
Old Mutual Money Market Fund	9007/027/60/1
Old Mutual MSCI Emerging Markets ESG Index Feeder Fund	9881/910/16/1
Old Mutual MSCI World ESG Index Feeder Fund	9007/711/27/9
Old Mutual RAFI® 40 Index Fund	9154/637/17/8
Old Mutual Real Income Fund	9004/554/17/7
Old Mutual SA Quoted Property Fund	9950/502/14/7
Old Mutual Stable Growth Fund	9153/631/17/2
Old Mutual Top 40 Index Fund	9511/398/14/2

<b>FUND NAME</b>	<b>REFERENCE NUMBER</b>
Old Mutual Multi-Managers Aggressive Balanced Fund of Funds	9145/782/22/4
Old Mutual Multi-Managers Balanced Fund of Funds	9405/415/15/0
Old Mutual Multi-Managers Cautious Fund of Funds	9246/132/18/8
Old Mutual Multi-Managers Defensive Fund of Funds	9094/595/15/5
Old Mutual Multi-Managers Enhanced Income Fund of Funds	9053/009/17/2
Old Mutual Multi-Managers Equity Fund of Funds	9670/702/14/2
Old Mutual Multi-Managers Maximum Return Fund of Funds	9144/790/22/8
Old Mutual Multi-Managers Money Market Fund	9696/687/14/5

**OLD MUTUAL MULTI-MANAGERS BUILDING BLOCK FUNDS**

Old Mutual Multi-Managed Equity Fund	9325/466/19/2
Old Mutual Multi-Managers Global Equity Fund of Funds	9038/721/25/5
Old Mutual Multi-Managers Global Income Fund of Funds	0894/684/19/0
Old Mutual Multi-Managers Global Property Fund of Funds	0428/355/26/7
Old Mutual Multi-Managers Income Fund No. 1	9171/886/15/4
Old Mutual Multi-Managers Income Fund No. 2	9038/780/17/8
Old Mutual Multi-Managers Income Fund No. 3	0108/316/28/2
Old Mutual Multi-Managers Income Fund No. 4	0959/565/19/3
Old Mutual Multi-Managers Income Fund No. 5	2791/963/16/4
Old Mutual Multi-Managers Inflation Plus Fund No. 1	9096/906/15/2
Old Mutual Multi-Managers Inflation Plus Fund No. 2	9292/661/15/5
Old Mutual Multi-Managers Inflation Plus Fund No. 3	9078/846/16/0
Old Mutual Multi-Managers Inflation Plus Fund No. 4	9076/043/17/4
Old Mutual Multi-Managers Inflation Plus Fund No. 6	9884/066/15/1
Old Mutual Multi-Managers Inflation Plus Fund No. 7	9247/122/18/8
Old Mutual Multi-Managers Property Equity	1754/699/17/9
Old Mutual Multi-Managers Satellite Equity Fund No. 1	9166/715/15/2
Old Mutual Multi-Managers Satellite Equity Fund No. 2	9074/688/16/0
Old Mutual Multi-Managers Satellite Equity Fund No. 3	9075/693/17/7
Old Mutual Multi-Managers Satellite Equity Fund No. 4	9248/118/18/5