



STEP 1:
BEFORE INVESTING



STEP 2: COMPLETE
THE APPLICATION
FORM



STEP 3:
SUBMIT ALL
REQUIRED
DOCUMENTS



STEP 4:
MAKE PAYMENT



STEP 5:
NEXT STEPS

Read this document and ensure that in choosing Qualified Investor Hedge Funds you are choosing the correct product to meet your requirements. We encourage all investors to source good relevant financial advice.

Refer to the relevant fund fact sheet/s (minimum disclosure documents) to ensure you are selecting the correct fund/s based on mandate, characteristics and objectives;

Complete:

- the Old Mutual Unit Trusts Qualified Investor Hedge Fund Buying Form including the various regulatory disclosures and
- if applicable, as many Controlling Person Self Certifications as needed.

Understand, agree and sign the Terms & Conditions and Declarations on the application form;

Scan and email to QIHedgeFunds@oldmutual.com

- your completed Old Mutual Unit Trusts Qualified Investor Hedge Fund Buying Form,
- FICA documentation* for the investing party, and
- Annexure A completed and FICA documentation* for all Controlling Persons

* FICA requirements are set out in the Old Mutual Unit Trusts Qualified Investor Hedge Fund Pre-Investment Information Document.

Once we have all required documentation, Old Mutual Unit Trusts will send you a confirmation letter.

This letter includes your unit trust client account number (needed as your payment reference) and our bank account details.

In order for investments to be finalised within the month of submission, we have to be in possession of your proof of payment two days before the Transaction Date (the last working day of the month).

Your investment instructions will be processed on receipt of:

- your completed instructions,
- supporting documentation and
- proof of payment.

Secure site access will be made available to your administrators, upon request.

PLEASE ENSURE YOU HAVE COMPLETED THE FOLLOWING SECTIONS OF THE APPLICATION FORM BEFORE SUBMITTING IT.

Section	INVESTING AS A LEGAL ENTITY		INVESTING AS A NATURAL INDIVIDUAL	
	Using an Adviser*	Investing directly	Using an Adviser*	Investing directly
1: Investor's Details	1(b)	1(b)	1(a)	1(a)
2: Contact Details	2	2		
3: How to Invest	3	3	3	3
4: Income Verification	4	4	4	4
5: Confirmation of Tax Status for Withholding Tax	5	5	5	5
6: Bank Details for the investing entity or the Client	6	6	6	6
7: Advice and Related Fees	7(a)	7(b)	7(a)	7(b)
8: Declaration by Adviser	8		8	
9: Assistance with Application Form Completion	9	9	9	9
10: Protection of Personal Information	10	10	10	10
11: Secure Site Registration for Administrators of Legal Entity Investors	11	11		
12: Disclaimers	12	12	12	12
13: Tax Residency Detail	13(b)	13(b)	13(a)	13(a)
14: Declaration of Investor or Authorised signatories	14	14	14	14
Annexure A	If applicable	If applicable		

* Financial Services Provider with the relevant experience and knowledge to advise on the risks involved in investing in hedge funds



IMPORTANT INFORMATION

1. Please refer to the Old Mutual Unit Trusts Qualified Investor Hedge Fund cover sheet (first page of this document), which will assist you to complete all the required sections of this application form.
2. **This form is for qualified institutional investors who have met the below eligibility requirements for investing into the Qualified Investor (QI) Hedge Funds. If you are investing into any other Old Mutual Unit Trust Fund, please source the relevant Buy form on our public website (www.oldmutualinvest.com)**
3. Please complete all relevant sections of this application in order to process your investment. The correctly completed form and supporting documentation can be scanned and emailed to QIHedgeFunds@oldmutual.com. Illegible and incomplete forms may lead to delays. Contact +27 (0)21 509 7140 if you have any queries.
4. Your investment will be processed once we have received the completed form and supporting documentation (refer to the *Qualified Investor Hedge Fund Pre-Investment Information Document*) and your money reflects in the correct bank account.

SUPPORTING DOCUMENTATION REQUIREMENTS

Buying and selling requests require at least proof of identity (e.g. bar-coded ID/passport) and residential address (e.g. recent utility bill not older than 3 months), unless we have your current documents on record. Please refer to the **Qualified Investor Hedge Fund Pre-Investment Information Document** (Supporting Document Requirements) for further information. The Financial Intelligence Centre Act (FICA) requires that documents have to be provided for every individual listed. Institutional investors must also supply "Self-certification of Exchange Control Compliance" documentation, if applicable. We cannot process your application without the relevant information.

PROCESSING TIMES:

Client requested transactions will be processed on the **last working day of a calendar month** ("the Transaction Date");

Purchase transaction requests need to be submitted (with all requirements met) by 15h00 five (5) working days before the Transaction Date, unless otherwise agreed in terms of your Service Level Agreement. Once the investment instruction has been finalised we will send a confirmation letter, which includes our bank details. Investment amounts (to purchase units) will be held in the Old Mutual Unit Trusts bank account and invested into the QI Hedge Fund selected on the Transaction Date. Note that no interest will be accrued on this amount during this period;

Please provide proof of payment of the investment amount to Old Mutual (to QIHedgeFunds@oldmutual.com) by 15h00 two (2) working days before the Transaction Date.

Repurchase (redemption) and switch transaction requests need to be submitted (with all requirements met) by 15h00 on the first working day of a calendar month for processing on the next Transaction Date), unless otherwise agreed in terms of your Service Level Agreement. Transaction application forms can be sourced from our service team on +27 (0)21 509 7140.

Transaction requests received after these times will be processed on the following Transaction Date (i.e. a calendar month later).

Please complete this form using block letters and tick (✓) where appropriate.

QI HEDGE FUND INVESTOR ELIGIBILITY

The requirements for investors in QI Hedge Funds are the following:

- The investing entity must be investing a minimum of R1 million per hedge fund invested into; **AND**
- The investing entity must have appointed a Financial Services Provider (Adviser) who has the relevant experience and knowledge to be able to give them advice on the risks involved in investing in hedge funds. If selecting this option please complete section 7(a) **OR**
- The investing entity must demonstrate that they have the relevant experience to enable them to assess the merits and risks involved in hedge fund investments. **If selecting this option please complete section 7(b).**

APPLICATION TO BUY UNITS

We hereby apply to Old Mutual Unit Trusts Managers (RF) (Pty) Ltd (Old Mutual Unit Trusts) for the number of units that can be purchased by the investment amount referred to below. All transactions are subject to the conditions of the relevant Old Mutual Unit Trust Fund Trust Deed(s).

Do you already have an Old Mutual Unit Trusts investment? No Yes

If Yes, please provide your unit trust number



1. INSTITUTIONAL DETAIL

FULL NAME(S) OF THE LEGAL ENTITY IN WHICH THE INVESTMENT IS HELD

Entity Name

Entity Trade Name (if different from the legal entity name)

Country of Organisation or Incorporation

Type of Entity Listed Company Unlisted Company Trust Retirement Fund CIS Fund Other

Entity Registration Number Entity Registration Date D D M M C C Y Y

Entity Tax Number Entity VAT Number

Entity registered address
 Postal code
 Country of address

Entity trade address
 Postal code
 Country of address

Telephone business
 (For eg. South Africa +27)

Entity e-mail address
 (Old Mutual's preferred method of communication is email)

2. CONTACT DETAILS FOR THOSE REPRESENTING LEGAL ENTITIES

Please complete if you are acting on behalf of the contracting party

Power of Attorney Curatorship Appointed by Entity as authorised person (documentation is required e.g. a resolution)

Title and surname

Full first names

Gender: Male Female Marital status: Married Single

Occupation:

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport)	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other State type eg. Social security number Country of citizenship:

Country of birth: Country of residence: Country of nationality:



2. CONTACT DETAILS FOR THOSE REPRESENTING LEGAL ENTITIES CONTINUED

Are you registered for Tax purposes in South Africa? Yes South African Income tax number

Cellphone
 (For eg. South Africa +27)

Telephone (Home)
 (For eg. South Africa +27)

Telephone (Work)
 (For eg. South Africa +27)

E-mail Address

Residential address

My address OR Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require for your post to be directed to the care of this person

Address

Postal code

Country of address

Postal address, if different from residential address

My address OR Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require for your post to be directed to the care of this person

Address

Postal code

Country of address

3. INVESTING ENTITY CONTACT DETAILS

NATURE OF ENTITY

Please tick the box that applies.

Please note: *Foreign designation applies if the entity is registered or organised outside South Africa.

	Trust	Partnership	Listed company	Close corporation	Unlisted company	Collective Investment Scheme
South African	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foreign*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Charitable organisation	Government/ Provincial Administration/ Municipality	Retirement Fund (pension, provident, preservation pension, preservation provident and Retirement Annuity)	Other Funds (Medical Aid Fund, Benefit Fund)	Other (Club, Association, Informal Body, Burial Society, Church, School)
South African	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foreign*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If other, please specify



3. INVESTING ENTITY CONTACT DETAILS CONTINUED

DETAILS OF ENTITY OR BRANCH OF FOREIGN ENTITY IN SOUTH AFRICA (mandatory)

Please note: A copy of the certificate/memorandum of incorporation or trust deed must accompany this form.

Trade name

Registered name

Entity registration no.

Registration date

Country of organisation or incorporation

Stock Exchange Listing information for Listed Companies

Primary Listing

Secondary Listing

ENTITY CONTACT DETAILS (mandatory)

Note: Proof of address must accompany this form

Email address

Please tick this box if you would prefer your communication by post. Old Mutual Unit Trusts' preferred method of communication is e-mail.

Telephone (Main company)

Fax

For SA Trusts Master of High Court address

Postal code

Country of address

Postal address Physical address

Country Country

Postcode Postcode

For The Administrator

Name of Administration Company

Title, initials and surname

Authorised person @ Administrator

Tel. Number Cellphone

(Code)

Fax

(Code)

E-mail

Preferably a group e-mail address

Secure Online Access

Will you require Secure Online View Access for this Investment? No Yes

If yes, for: Investing Entity Authorised Person Appointed Administrator

(Please refer to Section 11: Secure Site: Individual Administrator Details)



4. HOW TO INVEST

To obtain your account number

Email your completed application form and supporting documents to QIHedgeFunds@oldmutual.com. Only once we have all your documentation will we send you a confirmation letter, reflecting our bank account details and your unit trust client account number (which serves as your payment reference). Please note that in order for investments to be finalised within the same month of submission, we have to be in possession of your proof of payment two days before the Transaction Date.

1 (a) INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD

Title and surname

Full first names

Gender: Male Female Marital status: Married Single

Select your QI Hedge Funds (refer to page 20)

UNIT TRUST FUND NAME	CLASS	JSE CODE	VALUE (R)
Old Mutual Aristeia Opportunities QI Hedge Fund			
Old Mutual Chronos QI Hedge Fund			
Old Mutual Managed Alpha QI Hedge Fund			
Old Mutual Volatility Arbitrage QI Hedge Fund			
TOTAL			R

Note: Distributions will be automatically reinvested. Please tick this box if you would prefer to receive them paid to your bank account:

5. INCOME VERIFICATION

Please confirm the source of income and funds for this transaction.

Source of income

Source of funds for this transaction

Industry/Nature of Business

Type of assets Retail Institutional

- **Institutional assets** refer to assets held or managed on behalf of institutional investors, i.e. retirement funds, long-term insurers, collective investment scheme managers and investment managers registered with Exchange Control as institutional investors for exchange control purposes.
- **Retail assets** refer to assets received from individuals and other entities, such as companies and trusts and include retail assets received indirectly through an intermediary, such as a linked investment service provider (LISP), nominee company or an investment manager not registered as an institutional investor with Exchange Control.



6. CONFIRMATION OF TAX STATUS FOR WITHHOLDING TAX

Old Mutual Unit Trusts will withhold Dividend Tax at the applicable rate stipulated in legislation until we are in possession of your correctly completed and signed declaration confirming the exemptions or reduced tax rates claimed. This will reflect on your Unit Trust distribution statement.

All investors will qualify for an exemption from Dividend Tax on dividends distributed. Please complete the Declaration below, or the separate Declaration by Beneficial Owner Form, indicating which exemption/s apply to you.

Please note: where you are a South African tax resident, you will have to indicate such in section 13. Should you not qualify for exemption under para (a) to (g), (k) or (n) per the Declaration of Beneficial Owner form you should qualify for para (l) exemption because:

- a. Income declared by a Real Estate Investment Trust [REIT] is deemed a dividend and subject to normal Income Tax in the hands of a South African Tax Resident.
- b. Due to a South African Tax Resident being subject to normal tax on the income, exemption (l) would then apply for Dividend Tax.

To make it easier for natural individuals (i.e. not legal entities) to complete this Dividend Withholding Tax exemption disclosure the bold exemptions may apply for selection. Every investor should select at least one option below.

Please indicate the reason why you (the investor or representative of the investor) are exempt from the Dividend Tax:

- Par (a) – a company which is resident in South Africa
- Par (b) – the Government, provincial government or municipality (of the Republic of South Africa)
- Par (c) – a public benefit organisation (approved by SARS ito section 30(3) of the Act)
- Par (d) – a trust contemplated in section 37A of the Act (mining rehabilitation trusts)
- Par (e) – an institution, body, or board contemplated in section 10(1)(cA) of the Act
- Par (f) – a fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- Par (g) – a person contemplated in section 10(1)(t) of the Act (CSIR, SANRAL etc)
- Par (h) – a shareholder in a registered micro business as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed R200 000
- Par (i) – a small business funding entity as contemplated in section 10(1)(cQ)
- Par (j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. a dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
- Par (k) – a portfolio of investment schemes in securities
- Par (l) – any person insofar as the dividend constitutes income of that person (i.e. falls into normal tax system)*
- Par (m) – any person to the extent that the dividend was subject to STC
- Par (n) – Fidelity and indemnity funds contemplated in section 10(1)(d)(iii) – i.e. only the two, not the other entities mentioned
- Par (x) – Other
- Par (y) – Double Taxation Agreement
- Par (z) – Other international agreement

Declaration in terms of section 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

We (full names in print please), the undersigned, hereby declare that dividends paid to the investor are exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above. We undertake to inform the Withholding Agent in writing should the circumstances of the investor referred to in the declaration above change.

We acknowledge that it is the sole responsibility of the Beneficial Owner to ensure that this declaration is completed timeously and that the information provided is accurate and complete. Old Mutual Unit Trust Managers (RF) (Pty) Ltd will not be liable for any expenses or damages due to non-submission or late submission of the form, or where the document submitted is incorrectly completed or only partially completed. We agree that it is the sole responsibility of the Beneficial Owner to comply with all requirements and obligations in relation to DWT as set out in the Act from time to time. We certify that we are duly authorised to sign this declaration on behalf of the Beneficial Owner.

Signature of investor OR Authorised signatory/ies

Date

D	D	M	M	C	C	Y	Y

We may qualify for a reduced rate of **Dividend Withholding Tax** due to the fact that a double tax agreement is in place. Please complete and sign the Declaration of Beneficial Owner Form in relation to Double Taxation Agreement relief available from www.oldmutualinvest.com or by calling the Service Centre at 0860 234 234 and return it to Old Mutual Unit Trusts in order to claim the reduced rate of tax.

OR

As a Foreign person we qualify for exemption from **Withholding Tax on Interest**. Please complete and sign the Old Mutual Unit Trusts Withholding Tax on Interest Declaration Form available on www.oldmutualinvest.com or by calling the Service Centre at 0860 234 234 and return to Old Mutual Unit Trusts in order to claim the tax exemption or reduced rate.



7. BANK DETAILS FOR THE INVESTING ENTITY OR CLIENT

Payment can be made to the investor's bank account only.

Account name

Bank and branch

Branch code and account number

Account type: Current Savings

8. ADVICE AND RELATED FEES

Please complete either section 7(a) where an adviser is utilised or section 7(b) if you have the relevant experience to assess the merits and risks involved in hedge fund investments

8(a). ACCEPTANCE OF ADVICE (not applicable to LISP, Fund of Funds or Retirement Fund investing entities):

The appointed signatories to this investment hereby confirm that, where applicable, the relevant adviser has satisfied them that he/she has the relevant experience and knowledge and is authorised to render financial services in respect of Qualified Investor Hedge Fund unit trust investments.

Do you want to appoint this intermediary as your Preferred Servicing Intermediary (PSI) for all your future Old Mutual financial services needs?

YES, I/we appoint and give the PSI access to information on all my/our existing Old Mutual financial services products.

NO, I/we will complete an Intermediary Appointment Note (IAN) selecting my/our servicing intermediary of preference per category of Old Mutual financial services product.

INSTRUCTION FOR PAYMENT OF ADVISER FEES (If applicable)

Note: Fees to the adviser can only be applied if this section is fully completed.

INITIAL ADVISER FEE (Initial adviser fees can only be paid on investments in the AI class of these portfolios)

An initial adviser fee has been agreed as follows. The applicable amount will be deducted prior to the purchase of units.

% incl. VAT (max. 3.45% incl. VAT), which is R (incl. VAT). Note: If % and rand differ, the % holds.

Please note that initial adviser fees are deducted before investing the remainder into the fund. This remaining amount needs to exceed the R1m minimum.

ANNUAL ADVISER FEE (Annual adviser fees can apply across all classes)

In addition, appointed signatories may elect to pay an ongoing annual adviser fee. This fee is negotiated between the entity and their adviser, and is over and above the initial adviser fee stipulated above.

YES or NO

If Yes, specify percentage % incl. VAT (max. 1.15% p.a. incl. VAT) of this QI Hedge Fund portfolio. Note that this instruction overrides all existing QI Hedge Fund annual adviser fee agreements.

from Fund (one QI Hedge Fund only) UT No.: (unit trust account number) OR proportionally across all the QI Hedge Funds.

This annual fee is calculated as a percentage of the value of this QI Hedge Fund unit trust portfolio on the Transaction Date. The annual adviser fee is deducted monthly, one month in arrears, through the sale of units from the nominated unit trust/s. This is a capital gains tax event. If this fee is being paid from a single unit trust and there is at any time an insufficient number of units in the unit trust, the fee will automatically be deducted from the largest unit trust in this QI Hedge Fund portfolio. Where investments are held in more than one class in the same unit trust, the fee will be deducted from the class open to new business (unless the closed unit trust class is stipulated above). The investor will receive confirmation of the implementation of this fee, any adjustments made to the annual percentage or to the unit trust, as well as the cancellation thereof. This fee is based on an agreement between the client and the Financial Planner. You need to take into account whether your Planner is VAT registered. Old Mutual Unit Trusts is not a party to the agreement and will cancel the fee on the written instruction of either party. The fee will further be automatically cancelled by a change in the appointed Financial Planner. Old Mutual Unit Trusts will change any erroneous instructions received, but will not rectify prior payments based on erroneous instruction.

Date

Signature of investor or authorised signatory

Date

Authorised signatory



12. SECURE SITE REGISTRATION FOR ADMINISTRATORS OF LEGAL ENTITY INVESTORS

Note: Natural Individual Investors can register directly on the Old Mutual Unit Trusts website (www.oldmutualinvest.com) for secure site access.

This application is to apply for the service for the appointed administrators noted to have secure site access to the Portfolio statements and reports linked to the Investment Entity recorded on this Old Mutual Unit Trust Institutional Buying Form.

(These personal details along with a copy of your ID are required purely for granting secure access to the Old Mutual Unit Trusts secure site and are not for marketing purposes)

Administrator 1:

Title, initials and surname

ID/Passport number

Work tel. (Code) Cellphone

E-mail

Personal Postal address

Residential Address

Country Country

Postcode Postcode

Administrator 2:

Title, initials and surname

ID/Passport number

Work tel. (Code) Cellphone

E-mail

Personal Postal address

Residential Address

Country Country

Postcode Postcode

Administrator 3:

Title, initials and surname

ID/Passport number

Work tel. (Code) Cellphone

E-mail

Personal Postal address

Residential Address

Country Country

Postcode Postcode



12. SECURE SITE REGISTRATION FOR ADMINISTRATORS OF LEGAL ENTITY INVESTORS CONTINUED

Terms and Conditions relating to use of the Old Mutual Secure Site for Institutional Client View access:

1. The Authorised Administrator(s) appointed by the Authorised Person(s) of the Entity investing into Old Mutual's unit trusts is/are responsible for applying for access to Old Mutual Secure Services for access to the Old Mutual Unit Trusts Institutional Client View role
2. The Authorised Administrator(s) will have access to view online Portfolio Statements and Reports.
3. It is recommended that at least two administrators are registered for this service.
4. To register for Old Mutual's Secure Site access, each administrator is required to provide personal details as set out in the enclosed application form and to submit a copy of their identity document for record purposes.
5. Access to the Secure Site is only approved once the password and registration process have been completed by the appointed Authorised Administrator(s).
6. Each user is required to select and use a unique user password to access the Old Mutual Unit Trusts site.
7. The secure site password is confidential. Login details may never be disclosed to anyone else at any time, and the user of the Secure Site hereby indemnifies and holds Old Mutual Unit Trusts harmless against any cost, harm or loss it may suffer as a result of the unauthorised disclosure of the login details to a third person.
8. For security purposes it is the responsibility of the Investing Entity to Old Mutual Unit Trusts to ensure that the personal information and contact details for the appointed Authorised Administrators is updated and maintained at all times.
9. All requests for changes for Authorised Administrator access must be communicated directly to Old Mutual Unit Trusts at QIHedgeFunds@oldmutual.com. The Old Mutual Unit Trust team will manage the instructed change and the approval of access for new authorised administrator users.
10. If for any reason it is believed that the Authorised Administrator's secure access details have been compromised, the Authorised Person of the Investing Entity needs to report this immediately to Old Mutual Unit Trusts' Client Service at +27 21 509 7140.
11. Old Mutual Unit Trusts reserves the right to withdraw secure-site access for reasons that include:
 - a. Incorrect login passwords used;
 - b. Receipt of notification that the secrecy of login password has been compromised; or
 - c. Receipt of notification that there may have been fraudulent access to the secure site.
12. Old Mutual Unit Trusts reserves the right to temporarily suspend the operation of the Secure Site for maintenance or security reasons. If for maintenance reasons, whenever possible, this will be over weekends or after office hours.
13. The Authorised Administrator may seek assistance in using the Old Mutual Unit Trusts Institutional Secure Site by emailing unittrusts@oldmutual.com or calling +27 21 509 7140.

We confirm that secure site access is to be granted to the above individual/s to view portfolio statements and reports of the Investing Entity in this application.

Authorised signatory/ies



13. DISCLAIMER

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial adviser before buying or selling unit trusts. You may however, buy and sell without the help of a financial adviser. If you do use a financial adviser, we remind you that they are entitled to certain negotiable adviser fees or commissions.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off time for client investment instructions (i.e. buying) is at 15:00 five (5) working days in advance of the Transaction Date, unless agreed earlier in terms of your Service Level Agreement. All documentation must be complete and the investment amount must reflect in our bank account by that date. Investment amounts (Purchase of Units) will be held in the Old Mutual Unit Trusts bank account and be invested into the QI Hedge Fund selected on the Transaction Date, the last working day of the calendar month. Transaction requests received after these times will be processed a calendar month later.
- Our cut-off time for client disinvestment instructions (i.e. selling) is at 15:00 on the first working day of the month in advance of the Transaction Date, unless agreed later in terms of your Service Level Agreement. The Transaction Date is the last working day of the calendar month. Transaction requests received after these times will be processed a calendar month later. Disinvestment forms are accessible on www.oldmutualinvest.com.
- Funds may borrow for leverage purposes and to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- We value our funds monthly at end of day on the last working day of every month to determine ruling price and will make available the ruling price the following working day. Monthly prices are available in the media or on request.
- The monthly ruling price will be calculated in accordance with ASISA's Pricing Standard and Old Mutual Unit Trusts' Valuation and Pricing Policy. It is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue. The value of assets will be determined by one or a combination of the following valuation strategies: Mark-to-Market, Mark-to-Broker and Mark-to-Model.
- The fund fees and costs that we charge for managing your investment are accessible on the relevant fund's minimum disclosure document (MDD) or table of fees and charges both available on our public website or from our service centre.
- Additional information on this proposed investment can be obtained, free of charge, from our service team on +27 (0)21 509 7140.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- A portfolio may change its investment strategy or investment policy (or both) by applying to the Financial Services Board (FSB) for permission to ballot all investors. The investment policy will change with approval of the FSB and a response of at least 25% by value of investors, the majority of whom must be in favour.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002. Old Mutual is a member of the Association for Savings and Investment South Africa (ASISA).

Some funds hold assets in foreign countries and therefore may have risks regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement, and the availability of information. Please contact us for risks specific to each country.



14. TAX RESIDENCY DETAIL

As a financial institution we are obliged to comply with international agreements signed by our government aimed at combatting tax evasion. In terms of these agreements, we are required to collect information regarding your/the investing legal entity's tax residency and the related tax reference numbers. If you are/the investing legal entity is resident for tax purposes in a country other than South Africa, certain information will be reported by Old Mutual to the South African Revenue Services who will automatically share this information with the participating countries where required.

Please note that tax residency is a per country definition and it is possible for the entity to be tax resident in multiple countries.

Should any information provided change, please ensure that that we are advised of such change or changes promptly. Should you have any questions about how to complete this form, please contact your tax adviser.

LEGAL ENTITY TAX RESIDENCY DETAIL

Please answer the following questions and complete your tax reference number in the table below:

	Yes	No	Country of tax residence	Income tax reference number (TIN) <small>(* mandatory. If you do not have an Income Tax reference number please select reason code A/B/C in table below).</small>
Is the entity a resident for tax purposes in South Africa?				
Is the entity a resident for tax purposes in the United States of America?				*
Is the entity a resident for tax purposes in another country?				*
Is the entity a resident for tax purposes in another country?				*
Is the entity a resident for tax purposes in another country?				*

Reason	Description	Please tick (✓)	Why is TIN unobtainable
A	The country/jurisdiction does not issue TINs to its residents		
B	The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason)		
C	No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)		

ENTITY CLASSIFICATION FOR FATCA AND OECD CRS (MANDATORY)

Terms identified in italics are as defined in the International Agreements mentioned above. Where considered appropriate abbreviated definitions are provided in Annexure B or else descriptors for the terms have been included below.

Your entity will either be a Financial Institution or a Non-Financial Entity. A Financial Institution is a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company, as defined in Annexure B. Please determine whether the entity is a Financial Institution or a Non-Financial Entity and then complete the appropriate section below for FATCA and OECD CRS purposes.



14. TAX RESIDENCY DETAIL CONTINUED

- a) The entity has not yet obtained a GIIN but is required to and has applied.
 - b) The entity has not obtained a GIIN but will be applying for one.
- Please advise us of the GIIN as soon as you have been issued with one.
- c) The entity is a Non-Participating Foreign Financial Institution (IGANPFFI).

A Deemed Compliant Foreign Financial Institution – as defined in FATCA regulations or the South African IGA – please select the classification below.	
<input type="checkbox"/>	Local Foreign Financial Institution/Financial Institution with a local client base (DCFFI)
<input type="checkbox"/>	Non-reporting member of a participating Foreign Financial Institution group (DCFFI)
<input type="checkbox"/>	Qualified Collective Investment Vehicle (DCFFI)
<input type="checkbox"/>	Restricted Fund (DCFFI)
<input type="checkbox"/>	Qualified Credit Card Issuer (DCFFI)
<input type="checkbox"/>	Non-registering local Bank (DCFFI)
<input type="checkbox"/>	Foreign Financial Institution with only low value accounts (DCFFI)
<input type="checkbox"/>	Limited Life Debt Investment Entity (DCFFI)
<input type="checkbox"/>	Investment Advisers and Investment Managers (DCFFI)
<input type="checkbox"/>	Trustee Documented Trust (DCFFI)
<input type="checkbox"/>	Sponsored Investment Entity or Controlled Foreign Corporation (SPFI)
<input type="checkbox"/>	Sponsored, Closely Held Investment Vehicle (SPFI)
<input type="checkbox"/>	Other – Please specify (DCFFI)

Where the entity is a Sponsored Investment Entity, Controlled Foreign Corporation, Sponsored Closely Held Investment Vehicle or a Trustee Documented Trust, please provide the following details.

Name of sponsor

X X X X X X . X X X X X . X X . X X X

Sponsor GIIN number

X X X X X X . X X X X X . X X . X X X

Own GIIN number

A Financial Institution that is located in a jurisdiction that does not have an IGA with the US, and which (1) has not entered into a FATCA agreement with the IRS (2) which is not a Deemed Compliant Financial Institution and (3) which is not an Exempt Beneficial Owner.	
<input type="checkbox"/>	The Financial Institution is a Non-Participating Foreign Financial Institution (NFFI)

The Financial Institution is a US Person	
<input type="checkbox"/>	Specified US person – Please provide us with a W9 (SPR)
<input type="checkbox"/>	US Person that is not a Specified US person – Please provide us with a W9 (USP)

An Exempt Beneficial Owner (EBO) as defined in the FATCA regulations or the South African or other IGA – please select the classification below.	
<input type="checkbox"/>	A South African Retirement Fund (registered in terms of the Pension Funds Act or established by law) (EBO)
<input type="checkbox"/>	Any other retirement fund/pension scheme that is an Exempt Beneficial Owner and non-reporting Financial Institution in terms of the FATCA regulations or another country's IGA with the US (EBO)
<input type="checkbox"/>	A South African Government entity (EBO)
<input type="checkbox"/>	An International Organisation (EBO)
<input type="checkbox"/>	The South African Reserve Bank or a foreign central bank of issue (EBO)
<input type="checkbox"/>	A Foreign Government, any political subdivision of a foreign government, or any wholly owned agency or instrumentality of any one or more of the foregoing (EBO)
<input type="checkbox"/>	Any investment entity wholly owned by one of the above Exempt Beneficial Owners (EBO)
<input type="checkbox"/>	Other – Please specify (EBO)

CRS – If the entity is a Financial Institution, then tick one box that applies.

<input type="checkbox"/>	An Investment Entity located in a jurisdiction that is not participating in CRS managed by a Financial Institution. If this box is ticked, please complete the Addendum Associated/Controlling Persons (natural persons only) in respect of any Controlling Persons (PNFEF).
<input type="checkbox"/>	Financial Institution under CRS unless above classification applies (this includes Reporting and Non Reporting Financial Institutions such as a pension scheme, government entity and international organisation.) (FIN)



ANNEXURE A – CONTROLLING PERSON SELF CERTIFICATION CONTINUED

Please note that the following contact information is mandatory:

- Residential and postal address.
- Home or cellphone and business telephone number.

Telephone Business
 (For eg. South Africa +27)

Telephone Home
 (For eg. South Africa +27)

Cellphone
 (For eg. South Africa +27)

E-mail Address
 (Old Mutual's preferred method of communication is email)

Residential address
 Postal code

Country of address

Is the residential address provided a care of (c/o)* address? Yes No

* Care of: Select "Yes", if you do not have a residential address of your own and require for your post to be directed to another person.

If "Yes", please provide name of property owner or name of person who holds the rental agreement.

Postal address (if different from above address)
 Postal code

Country of address

Please answer the following questions and complete your tax reference number(s) in the table below, along with details of your identifications and any other nationality or citizenship, providing identification for each country for which you are a resident for tax purposes.

	Yes	No	Country of tax residence	Income tax reference number (TIN) (* mandatory - If you do not have an Income Tax reference number please select reason code (A/B/C) in table below)	Foreign ID/ passport of country	ID type
Are you a resident for Tax purposes in South Africa?						
Are you a citizen and/or tax resident of the United States of America?						
If "Yes", please provide the following information						
Are you a resident for tax purposes in any other country?						
If "Yes", please provide the following information						
Country 1						
Country 2						
Country 3						



ANNEXURE A – CONTROLLING PERSON SELF CERTIFICATION CONTINUED

TIN reason code table

Reason	Description	Please tick (✓)	Why is TIN unobtainable
A	The country/jurisdiction does not issue TINs to its residents		
B	The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason)		
C	No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)		

CONTROLLING PERSON DECLARATION

- I have read and understood the contents of this declaration.
- I warrant that all the information provided by me is to the best of my knowledge and belief accurate, and that the statements made by me are true and complete.
- I undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Limited within 30 days, and provide an updated Controlling Person self-certification form, where any information contained in this declaration becomes outdated or changes.
- I understand Old Mutual Unit Trust Managers (RF) (Pty) Limited may be legally obliged to disclose information contained in this form to the South African Revenue Services.

Signed at this day of year

Authorised Signature 1

Authorised Signature 2 (if applicable)

Name of authorised signatory

Name of authorised signatory

Who warrants that he/she/they are authorised to sign on behalf of the named entity.

Capacity

Capacity



ANNEXURE B – EXPLANATORY MEMORANDUM

The following explanatory notes have been compiled based on the U.S. Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (OECD CRS), as implemented in South Africa, via:

- the Inter-Government Agreement (IGA) between the Government of Republic of South Africa and the Government of the United States of America to improve international tax compliance and to implement FATCA.
- the SARS issued Regulations for the implementation of the OECD CRS.

If you have any questions about your organisation's classification, please contact your tax adviser or refer to the following documents:

- the IGA or Regulations for the implementation of the OECD CRS available from the South African Revenue Services website at www.sars.gov.za.
- the Regulations issued by the US Treasury for FATCA.

Entity	A legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation. This term covers any person other than an individual (i.e. a natural person).
Financial Institution (CRS and FATCA)	A Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company.
Custodial Institution (CRS and FATCA)	Any Entity that holds, as a substantial portion of its business, Financial Assets for the account of others. An Entity holds Financial Assets for the account of others as a substantial portion of its business if the Entity's gross income attributable to the holding of Financial Assets and related financial services equals or exceeds 20 per cent of the Entity's gross income during the shorter of: <ol style="list-style-type: none"> the three year period that ends on 31 December (or the final day of a non-calendar year accounting period (FATCA)/the last day of February (CRS) prior to the Reporting Period in which the determination is being made; or the period during which the Entity has been in existence.
Depository Institution (CRS and FATCA)	Entities that accept deposits in their normal course of business, such as banks.
Specified Insurance Company (CRS and FATCA)	Insurance Company that issues, or is contractually obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract, or the holding company of one.
Investment Entity (FATCA)	Any Entity that conducts as a business (or that is managed by a business that conducts as a business) one or more of the following activities or operations for or on behalf of a customer: <ol style="list-style-type: none"> trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; individual and collective portfolio management; or otherwise investing, administering, or managing funds or money on behalf of other persons.
Investment Entity (CRS)	Any Entity: <ol style="list-style-type: none"> that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer: <ol style="list-style-type: none"> Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; Individual and collective portfolio management; or Otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; or The gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or an Investment Entity.
South African Financial Institution	<ol style="list-style-type: none"> any Financial Institution resident in South Africa, but excluding any branch of such Financial Institution that is located outside South Africa, and any branch of a Financial Institution not resident in South Africa, if such branch is located in South Africa.
Reporting South African Financial Institution	A South African Financial Institution that is required to register on the IRS Portal and report in terms of the IGA.
Non-Financial Foreign Entity (NFFE) (FATCA)	Any Non-U.S. Entity that is not "a Foreign Financial Institution" as defined in relevant U.S. Treasury Regulations or is an Entity described in the Active NFFE definition below, and also includes any Non-U.S. Entity that is established in South Africa or another Partner Jurisdiction and that is not a Financial Institution.
Non-Financial Entity (NFE) (CRS)	Any Entity that is not a Financial Institution.
Passive NFFE (FATCA)	Any NFFE that is not: <ol style="list-style-type: none"> an Active NFFE, or a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations.



ANNEXURE B – EXPLANATORY MEMORANDUM CONTINUED

<p>Passive NFE (CRS) for the purposes of this form</p>	<p>Any NFE that is not an Active NFE.</p>
<p>Active NFFE (FATCA)/ Active NFE (CRS)</p>	<p>An “Active NFFE” means any NFFE that meets any of the criteria (a) to (j) below; while an “Active NFE” is any NFE that meets any of the criteria except those bolded.</p> <ol style="list-style-type: none"> a) Less than 50 percent of the NFFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income; b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market; c) The NFFE is organised in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory; d) The NFFE is a government (other than the U.S. government (FATCA)), a political subdivision of such government (which, for the avoidance of doubt, includes a state, province, county, or municipality), or a public body performing a function of such government or a political subdivision thereof, a government of a U.S. Territory (FATCA), an international organisation, a non-U.S. central bank of issue (for FATCA)/a Central Bank (CRS), or an Entity wholly owned by one or more of the foregoing; e) Substantially all of the activities of the NFFE/NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFFE/NFE shall not qualify for this status if the NFFE/NFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; f) The NFFE/NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFFE/NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFFE/NFE; g) The NFFE/NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution; h) The NFFE/NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; i) The NFFE is an “excepted NFFE” as described in relevant U.S. Treasury Regulations; or j) The NFFE/NFE meets all of the following requirements: <ol style="list-style-type: none"> i. It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labor organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare; ii. It is exempt from income tax in its jurisdiction of residence; iii. It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; iv. The applicable laws of the NFFE’s/NFE’s jurisdiction of residence or the NFFE’s/NFE’s formation documents do not permit any income or assets of the NFFE/NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFFE’s/NFE’s charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFFE/NFE has purchased; and v. The applicable laws of the NFFE’s/NFE’s jurisdiction of residence or the NFFE’s/NFE’s formation documents require that, upon the NFFE’s/NFE’s liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the NFFE’s/NFE’s jurisdiction of residence or any political subdivision thereof.
<p>Related Entity</p>	<p>An Entity is a “Related Entity” of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.</p>



ANNEXURE B – EXPLANATORY MEMORANDUM CONTINUED

South African Governmental Entity	<p>The government of South Africa, any political subdivision of South Africa (which, for the avoidance of doubt, includes a state, province, county, or municipality), or any wholly owned agency or instrumentality of South Africa or any one or more of the foregoing (each, a “South African Governmental Entity”).</p> <p>This category is comprised of the integral parts, controlled entities, and political subdivisions of South Africa.</p> <ol style="list-style-type: none"> 1. An integral part of South Africa means any person, organisation, agency, bureau, fund, instrumentality, or other body, however designated, that constitutes a governing authority of South Africa. The net earnings of the governing authority must be credited to its own account or to other accounts of South Africa, with no portion inuring to the benefit of any private person. An integral part does not include any individual who is a sovereign, official, or administrator acting in a private or personal capacity. 2. A controlled entity means an Entity that is separate in form from South Africa or that otherwise constitutes a separate juridical entity, provided that: <ol style="list-style-type: none"> a) The Entity is wholly owned and controlled by one or more South African Governmental Entities directly or through one or more controlled entities; b) The Entity’s net earnings are credited to its own account or to the accounts of one or more South African Governmental Entities, with no portion of its income inuring to the benefit of any private person; and c) The Entity’s assets vest in one or more South African Governmental Entities upon dissolution. 3. Income does not inure to the benefit of private persons if such persons are the intended beneficiaries of a governmental program, and the program activities are performed for the general public with respect to the common welfare or relate to the administration of some phase of government. Notwithstanding the foregoing, however, income is considered to inure to the benefit of private persons if the income is derived from the use of a governmental entity to conduct a commercial business, such as a commercial banking business, that provides financial services to private persons.
International Organisation	<p>Any international organisation or wholly owned agency or instrumentality thereof. This category includes any intergovernmental organisation (including a supranational organisation) (1) that is comprised primarily of non-U.S. governments (FATCA)/that is comprised primarily of governments (CRS); (2) that has in effect a headquarters agreement with South Africa or a non-US government (FATCA)/with the jurisdiction (CRS); and (3) the income of which does not inure to the benefit of private persons.</p>
U.S. Person	<p>A partnership or corporation organised in the United States or under the laws of the United States or any State thereof, a trust if (i) a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the United States.” This definition shall be interpreted in accordance with the U.S. Internal Revenue Code.</p>
Specified U.S. Person	<p>a U.S. Person, other than:</p> <ol style="list-style-type: none"> i. a corporation whose stock is regularly traded on one or more established securities markets; ii. “any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause i.; iii. the United States or any wholly owned agency or instrumentality thereof; iv. any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing; v. any organisation exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code; vi. any bank as defined in section 581 of the U.S. Internal Revenue Code; vii. any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code; viii. any regulated investment company as defined in section 851 of the U.S Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64); ix. any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code; x. any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code; xi. a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; xii. a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or xiii. any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.
Trustee documented Trust	<p>A Trust established under the laws of South Africa” where:</p> <ul style="list-style-type: none"> • the Trustee is a Reporting US Financial Institution, Participating Financial Institution or Reporting Financial Institution in a Model I IGA country, and • the trustee “reports all information required to be reported for FATCA purposes in relation to all US Reportable Accounts of the Trust”



FUND LIST

FUND NAME	JSE Code	Class	ANNUALISED SERVICE FEE % (included in TER)				TOTAL EXPENSES AS AT 30 SEPTEMBER 2019				DISTRIBUTION DATES	RISK
			Flat Fees		Performance Related Fees		Total Expense Ratio* (TER) %		Transaction Cost (TC)	= Total Investment Charge		
			Local Assets	Offshore Assets	Min	Max	TER	Performance Fee Component				
Old Mutual Aristeia Opportunities QI Hedge Fund	OMGD3	D3	0.627%	-	0.63%	Uncapped	1.88%	-	0.42%	2.30%	June, December	Aggressive
Old Mutual Chronos QI Hedge Fund	OMCD3	D3	0.627%	-	0.63%	Uncapped	1.20%	-	0.46%	1.66%	June, December	Aggressive
Old Mutual Managed Alpha QI Hedge Fund	OMQD3	D2	0.627%	-	0.63%	Uncapped	1.39%	-	0.46%	1.86%	June, December	Aggressive
Old Mutual Volatility Arbitrage QI Hedge Fund	OMVD1	D1	0.342%	-	0.34%	Uncapped	1.918%	-	0.10%	1.862%	June, December	Aggressive
	OMVD3	D3	0.627%	-	0.63%	Uncapped	2.20%	-	0.30%	2.50%		